# Collection Tree Protocol

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## Abstract

This paper presents and evaluates two principles for wireless routing protocols. The first is datapath validation: data traffic quickly discovers and fixes routing inconsistencies. The second is adaptive beaconing: extending the Trickle algorithm to routing control traffic reduces route repair latency and sends fewer beacons.

We evaluate datapath validation and adaptive beaconing in CTP Noe, a sensor network tree collection protocol. We use 12 different testbeds ranging in size from 20–310 nodes, comprising seven platforms, and six different link layers, on both interference-free and interference-prone channels. In all cases, CTP Noe delivers $> 90\%$ of packets. Many experiments achieve 99.9%. Compared to standard beaconing, CTP Noe sends 73% fewer beacons while reducing topology repair latency by 99.8%. Finally, when using low-power link layers, CTP Noe has duty cycles of 3% while supporting aggregate loads of 30 packets/minute.

## Categories and Subject Descriptors

C.2.1 [**Computer-Communication Networks**]: Network Architecture and Design—*Wireless communication*; C.2.2 [**Computer-Communication Networks**]: Network Protocols

## General Terms

Design, Experimentation, Performance

## Keywords

Collection, CTP, Sensor Network, Routing

## 1 Introduction

This paper describes two principles for wireless routing protocol design: datapath validation and adaptive beaconing. It evaluates these principles in the context of CTP Noe, an implementation of the Collection Tree Protocol (CTP) [10].

CTP is a routing protocol that computes anycast routes to a single or a small number of designated sinks in a wireless sensor network. Four goals motivate the need for datapath validation and adaptive beaconing:

**Reliability:** a protocol should deliver at least 90% of end-to-end packets when a route exists, even under challenging network conditions. 99.9% delivery should be achievable without end-to-end mechanisms.

**Robustness:** it should be able to operate without tuning or configuration in a wide range of network conditions, topologies, workloads, and environments.

**Efficiency:** it should deliver packets with the minimum amount of transmissions across the network and requiring little state.

**Hardware Independence:** it should achieve the three above goals without assuming specific radio chip features, as sensor networks use a wide range of platforms.

Achieving these goals depends on link estimation accuracy and agility. At the packet level, wireless links can have coherence times as small as 500 milliseconds [29]. Being efficient requires using these links when possible, but avoiding them when they fail. The four-bit link estimator, for example, is able to reduce delivery costs by up to 44% by changing its estimates as quickly as every five packets [11].

Such dynamism is inherently challenging. Rapid topology changes necessitate distance-vector, rather than link-state, algorithms. Simple distance-vector protocols, however, suffer from routing loops and other problems that harm reliability and efficiency. The two principles we present allow a routing protocol to react at the same timescales as the topology changes while remaining efficient and robust.

The first, datapath validation, actively uses data packets to validate the routing topology and detect loops. Each data packet contains the link-layer transmitter's estimate of its distance. A node detects a possible routing loop when it receives a packet to forward from a node with a smaller or equal distance to the destination. Rather than drop such a packet, the routing layer repairs the topology and forwards the packet normally. Data packets detect routing inconsistencies precisely when a route is needed, even when the control traffic rate is very low.

The second, adaptive beaconing, extends the Trickle [18] algorithm, originally designed for code updates, to dynam-

ically adapt control traffic. Adaptive beaconing can react in tens of milliseconds to topology changes, yet send a few control packets per hour when the topology is stable.

While the CTP specification [10] describes protocol packet formats and interoperability requirements, several aspects are left open for an implementation to decide, such as the timings for routing and forwarding packets. This paper describes and evaluates the algorithms and mechanisms that one particular implementation, called CTP Noe, uses. In addition to incorporating adaptive beaconing and datapath validation, CTP Noe includes additional mechanisms and algorithms to improve performance. These include re-transmit timers, a hybrid queue for forwarded and local packets, per-client queuing, and a duplicate suppression cache.

We evaluate CTP Noe on 12 different testbeds ranging in size from 20–310 nodes and comprising seven hardware platforms. While not deployments in the field, the testbeds comprise diverse environmental conditions beyond our control, provide reproducibility, and have enough diversity to give us confidence that CTP Noe achieves the above goals. Anecdotal reports from several deployments support this belief. In two testbeds that have Telos nodes, we evaluate CTP Noe using three link layers: full power, low power listening [25] and low power probing [22]. In one Telos-based testbed where there is exceptionally high 802.11b interference, we evaluate CTP Noe on an interference-prone and an interference-free channel. Evaluating CTP Noe, we find:

- Across all testbeds, configurations, and CSMA layers, CTP Noe's end-to-end delivery ratio ranges from 90.5% to 99.9%. CTP Noe supports median duty cycles of 3% while sustaining aggregate loads of 30 pkts per minute.

- Compared to MultihopLQI, a collection protocol used in recent deployments [36], CTP Noe drops 90% fewer packets while sending 29% fewer packets.

- Compared to MultihopLQI's fixed 30 second beacon interval, CTP Noe's adaptive beaconing and datapath validation sends 73% fewer beacons while cutting loop recovery latency by 99.8%.

- Testbeds vary significantly in their density, connectivity, and link stability, and the dominant cause of CTP Noe's packet loss varies across them correspondingly.

This paper makes three research contributions. First, it describes two key principles, adaptive beaconing and datapath validation, which enable routing layers to remain efficient, robust, and reliable in highly dynamic topologies on many different link layers. Second, it describes the design and implementation of CTP Noe, a collection protocol that uses these two mechanisms. Third, by evaluating CTP Noe on 12 different testbeds, it provides a comparative study of their behavior and properties. The variation across testbeds suggests that protocols designed for and evaluated on only a single testbed are prone to failures when they encounter different network conditions.

In Section 2, we identify two main challenges in implementing robust and efficient wireless network protocols, namely link dynamics and transient loops. Based on these observations, we present adaptive beaconing and datapath

validation in Section 3. Section 4 follows by giving detailed descriptions of how CTP Noe implements these two mechanisms, while Section 5 describes CTP Noe's data plane. Section 6 presents a comprehensive experimental evaluation of CTP Noe on 12 testbeds. Section 7 presents prior work. Section 8 discusses our experiences with CTP Noe, and Section 9 concludes.

## 2 Motivation

Having a robust, highly reliable, and efficient collection protocol benefits almost every sensor network application today, as well as the many transport, routing, overlay, and application protocols that sit on top of collection trees. At first glance, collection protocols may appear very simple. They provide best-effort, unreliable, anycast packet delivery to one of the data sinks in the network.

However, despite providing a simple service that is fundamental to so many systems, and being in use for almost a decade, collection protocols today typically suffer from poor performance. Deployments observe delivery ratios of 2-68% [16, 21, 31, 36].

Furthermore, it is unclear *why* collection performs well in controlled situations yet poorly in practice, even at low data rates. To better understand the causes of these failures, we ran a series of experiments on 12 different testbeds and found two phenomena to be the dominant causes: link dynamics and transient loops.

### 2.1 Link Dynamics

Protocols typically use periodic beacons to maintain their topology and estimate link qualities. The beaconing rate introduces a tradeoff between agility and efficiency: a faster rate leads to a more agile network but higher cost, while a lower rate leads to a slower-to-adapt network and lower cost. Early protocol designs, such as MintRoute, assumed that intermediate links had stable, independent packet losses, and used this assumption to derive the necessary sampling window for an accurate estimate [38]. But in some environments, particularly in the 2.4 GHz frequency space, links can be highly dynamic. Experimental studies have found that many links are not stationary, but bursty on the time scale of a few hundred milliseconds [29].

Protocols today, however, settle for beacon rates on the order of tens of seconds, leading to typical rate mismatches of two to three orders of magnitude. This means that at low beacon rates, while data packets might observe contiguous periods of 0% and 100% reception ratios, periodic control packets might observe a reception ratio of 50%. The periods of 0% cause many wasted retransmissions and packet drops. For a periodic beacon to be able to sample these link variations, the beacon period would have to be in the order of few hundred milliseconds.

Link dynamics also poses a challenge in routing protocol design - how should a routing protocol be designed when the underlying link topology can change in the order of a few hundred milliseconds?

### 2.2 Transient Loops

Rapid link topology changes can have serious adverse effects on existing routing protocols, causing losses in the

data plane or long periods of disconnection while the topology adjusts. In most variations of distributed distance vector algorithms, link topology changes may result in transient loops which causes packet drops.[1] This is the case even in path-vector protocols like BGP, designed to avoid loop formation [23].

The MultihopLQI protocol, for example, discards packets when it detects a loop until a new next hop is found. This can take a few minutes, causing a significant outage. We experimentally examine this behavior of MultiHopLQI in Section 6.3. In DSDV, designed to avoid loops, when a link goes down, the entire subtree whose root used that link is disconnected until an alternate path is found [24]. This happens only when the global sequence number for the collection root changes: rapid link dynamics require quick sequence number progression, introducing a significant control packet load.

In both cases, the problem is that topology repairs happen at the timescale of control plane maintenance, which operates at a time scale orders of magnitude longer than the data plane. Since the data plane has no say in the routing decisions, it has to choose between dropping packets or stopping traffic until the topology repairs. This, in turn, creates a tension on the control plane between efficiency in stable topologies and delivery in dynamic ones.

## 3 Design Overview

A collection protocol builds and maintains minimum-cost trees to nodes that advertise themselves as tree roots. Collection is address-free: when there are multiple base stations, it sends to the one with the minimum cost without knowing its address. In this paper, we assume all data packets are simple unicast frames.

Rapidly changing link qualities cause nodes to have stale topology information, which can lead to routing loops and packet drops. This section presents two mechanisms that enable a routing protocol to be robust to stale route information and agile to link dynamics while also having a low overhead when the topology is stable. The first is datapath validation: using data packets to dynamically probe and validate the consistency of its routing topology. The second is adaptive beaconing, which extends the Trickle code propagation algorithm so it can be applied to routing control traffic. Trickle's exponential timer allows nodes to send very few control beacons when the topology is consistent, yet quickly adapt when the datapath discovers a possible problem.

### 3.1 Datapath Validation

Every node maintains an estimate of the cost of its route to a collection point. We assume expected transmissions (ETX) as the cost metric, but any similar gradient metric can work just as well. A node's cost is the cost of its next hop plus the cost of its link to the next hop: the cost of a route is the sum of the costs of its links. Collection points advertise a cost of zero.

Each data packet contains the transmitter's local cost estimate. When a node receives a packet to forward, it compares the transmitter's cost to its own. Since cost must always decrease, if a transmitter's advertised cost is not greater than

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[1]RAM limitation and high cost for update propagation precludes the use of link-state protocols in sensor networks.

the receiver's, then the transmitter's topology information is stale and there may be a routing loop. Using the data path to validate the topology in this way allows a protocol to detect possible loops on the first data packet after they occur.

### 3.2 Adaptive Beaconing

We assume that the collection layer updates stale routing information by sending control beacons. As with data packets, beacons contain the transmitter's local cost estimate. Unlike data packets, however, control beacons are broadcasts. A single beacon updates many nearby nodes.

Collection protocols typically broadcast control beacons at a fixed interval [2,38]. This interval poses a basic tradeoff. A small interval reduces how stale information can be and how long a loop can persist, but uses more bandwidth and energy. A large interval uses less bandwidth and energy but can let topological problems persist for a long time.

Adaptive beaconing breaks this tradeoff, achieving both fast recovery and low cost. It does so by extending the Trickle algorithm [18] to maintaining its routing topology.

Trickle is designed to reliably and efficiently propagate code in a wireless network. Trickle's basic mechanism is transmitting the version number of a node's code using a randomized timer. Trickle adds two mechanisms on top of this randomized transmission: suppression and adapting the timer interval. If a node hears another node advertise the same version number, it suppresses its own transmission. When a timer interval expires, Trickle doubles it, up to a maximum value ($\tau_h$). When Trickle hears a newer version number, it shrinks the timer interval to a small value ($\tau_l$).

If all nodes have the same version number, their timer intervals increase exponentially, up to $\tau_h$. Furthermore, only a small subset of nodes transmit per interval, as a single transmission can suppress many nearby nodes. When there is new code, however, the interval shrinks to $\tau_l$, causing nodes to quickly learn of and receive new code.

Unlike algorithms in ad-hoc routing protocols such as DSDV [24], adaptive beaconing does not assume the tree maintains a global sequence number or version number that might allow a simple application of Trickle. Instead, adaptive beaconing uses the routing cost gradient to control when to reset the timer interval. The routing layer resets the interval to $\tau_l$ on three events:

1. **It is asked to forward a data packet from a node whose ETX is not higher than its own.** The protocol interprets this as neighbors having a significantly out-of-date estimate and possibly a routing loop. It beacons to update its neighbors.

2. **Its routing cost decreases significantly.** The protocol advertises this event because it might provide lower-cost routes to nearby nodes. In this case, "significant" is an ETX of 1.5.

3. **It receives a packet with the P bit set.** The "Pull" bit advertises that a node wishes to hear beacons from its neighbors, e.g., because it has just joined the network and needs to seed its routing table. The pull bit provides a mechanism for nodes to actively request topology in-

formation from neighbors. Section 4.3 provides greater detail on the P bit.

In a network with very stable links, both the first and second events are rare. As long as nodes do not set the P bit, the beacon interval increases exponentially, up to $\tau_h$. When the topology changes significantly, however, affected nodes reset their intervals to $\tau_l$, and transmit to quickly reach consistency. While it could, we assume that adaptive beaconing does not use Trickle's suppression mechanism.[2]

## 3.3 Other Details

Datapath validation and adaptive beaconing allow a routing layer to maintain an efficient yet agile topology, but are insufficient by themselves. Numerous systems issues arise in packet forwarding that affect efficiency, reliability, and robustness, such as self-interference, link-layer duplicate suppression, retransmission policies, and queuing. We defer presenting these systems and implementation issues to Section 5, which discusses the data plane. The next section gives a detailed description of the implementation of these techniques in CTP Noe's control plane.

## 4 Control Plane Design

This section describes how CTP Noe discovers, selects, and advertises routes.

## 4.1 Route Computation and Selection

TEP 123 specifies the CTP routing packet format nodes use to exchange topology information [10], which we summarize here. A CTP routing frame has two fields and two control bits. The two fields advertise the node's current parent and routing cost. The two control bits are the *pull bit* (P) and the *congested bit* (C). We discuss the meaning and use of the P bit below. The C bit is for signaling higher-layer congestion control and is not relevant for this paper.

Changing routes too quickly can harm efficiency, as dealing with noise in link estimates requires time. To dampen the topology change rate, CTP Noe employs hysteresis in path selection: it only switches routes if it believes the other route is significantly better than its current one, where "significantly" better is having an ETX at least 1.5 lower. While hysteresis has the danger of allowing CTP Noe to use suboptimal routes, it can be shown that noise in link estimates causes better routes to dominate a node's next hop selection.

## 4.2 Control Traffic Timing

When CTP Noe's topology is stable, it relies on data packets to maintain, probe, and improve link estimates and routing state. Beacons, however, form a critical part of routing topology maintenance. First, since beacons are broadcasts, they are the basic neighbor discovery mechanism and provide the bootstrapping mechanism for neighbor tables. Second, there are times when nodes must advertise information, such as route cost changes, to all of their neighbors.

Because CTP Noe separates link estimation from its control beacons, its estimator does not require or assume a fixed beaconing rate. This allows CTP Noe to adjust its beaconing rate based on the expected importance of the beacon information to its neighbors. Minimizing broadcasts has the

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[2]In Trickle terminology, CTP Noe sets $k = \infty$.

additional benefit that they are typically much more expensive to send with low-power link layers than unicast packets. When the routing topology is working well and routing cost estimates are accurate, CTP Noe slows its beaconing rate. However, when the routing topology changes significantly, or CTP Noe detects a problem with the topology, it quickly informs nearby nodes so they can react accordingly.

CTP Noe sends routing packets using a variant of the Trickle algorithm [18]. It maintains a beaconing interval which varies between 64 ms and one hour. Whenever the timer expires, CTP Noe doubles it, up to the maximum (one hour). Whenever CTP Noe detects an event which indicates the topology needs active maintenance, it resets the timer to the minimum (64 ms). These values are independent of the underlying link layer. If a packet time is larger than 64 ms, then the timer simply expires several times until it reaches a packet time.

## 4.3 Resetting the Beacon Interval

As Section 3.2 above mentions, three events cause CTP Noe to reset its beaconing interval to the minimum length.

The simplest one is the P bit. CTP Noe resets its beacon interval whenever it receives a packet with the P bit set. A node sets the P bit when it does not have a valid route. For example, when a node boots, its routing table is empty, so it beacons with the P bit set. Setting the P bit allows a node to "pull" advertisements from its neighbors, in order to quickly discover its local neighborhood. It also allows a node to recover from large topology changes which cause all of its routing table entries to be stale.

CTP Noe also resets its beacon interval when its cost drops significantly. Though this is not necessary for correctness, a node whose cost drops quickly may suddenly be a much more desirable next hop. Resetting its beacon interval allows the node's neighbors to quickly learn this information.

The final and most important event is when CTP Noe detects that there might be a routing topology inconsistency. CTP Noe imposes an invariant: the cost of each hop must monotonically decrease. Let p be a path consisting of $k$ links between node $n_0$ and the root, node $n_k$, such that node $n_i$ forwards its packets to node $n_{i+1}$. For the routing state to be consistent, the following constraint must be satisfied:

$$\forall i \in \{0, k-1\}, \ ETX(n_i) > ETX(n_{i+1}),$$

where *ETX(x)* is the path ETX from node $x$ to the root.

CTP Noe forwards data packets in a possible loop normally: it does not drop them. However, it introduces a slight pause in forwarding, the length of the minimum beacon interval. This ensures that it sends the resulting beacon before the data packet, such that the inconsistent node has a chance to resolve the problem. If there is a loop of length $L$, this means that the forwarded packet takes $L - 1$ hops before reaching the node that triggered topology recovery. As that node has updated its routing table, it will pick a different next hop.

If the first beacon was lost, then the process will repeat. If it chooses another inconsistent next hop, it will trigger a second topology recovery. In highly dynamic networks, packets occasionally traverse multiple loops, incrementally repairing the topology, until finally the stale node picks a safe next hop and the packet escapes to the root. The cost of these rare
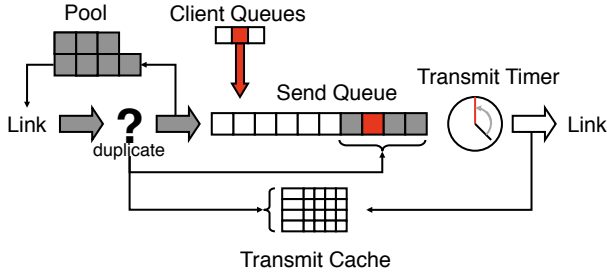
**Figure 1. The CTP Noe's forwarding path.**

events of a small number of transient loops is typically much less than the aggregate cost of general forwarding: improving routes through rare transient loops is worth the cost.

## 5 Data Plane Design

In the previous section we described the important role that the control plane plays in detecting inconsistencies in the topology and resetting the beacon interval to fix them. This section describes CTP Noe's data plane. Unlike the control plane, which is a set of consistency algorithms, the concerns of the data plane are much more systems- and implementation-oriented. This section describes four mechanisms in the data plane that achieve efficiency, robustness, and reliability: per-client queuing, a hybrid send queue, a transmit timer, and a packet summary cache. Figure 1 shows the CTP Noe data path and how these four mechanisms interact.

A CTP data frame has an eight byte header [10]. The data frame header shares two fields with the routing frame, the one byte control field (P and C bits) and the two byte route ETX field. The one byte time has lived (THL) is the opposite of a TTL: it starts at zero at an end point and each hop increments it by one. A one-byte dispatch identifier called Collect ID, allows multiple clients to share a single CTP Noe layer. A two byte origin field contains the identifier of the node that originated the packet, and a node increments a one byte sequence number on each packet it originates.

CTP Noe uses a very aggressive retransmission policy. By default, it will retransmit a packet up to 32 times. This policy stems from the fact that all packets have the same destination, and, thus, the same next hop. The outcome of transmitting the next packet in the queue will be the same as the current one. Instead of dropping, CTP Noe combines a retransmit delay with proactive topology repair to increase the chances of delivering the current packet. In applications where receiving more recent packets is more important than receiving nearly all packets, the number of retransmissions can be adjusted without affecting the routing algorithm.

### 5.1 Per-client Queuing

CTP Noe maintains two levels of queues. The top level is a set of one-deep client queues. Each client can have a single outstanding packet. If a client needs additional queuing, it must implement it on top of this abstraction. These client queues do not actually store packets; they are simple guards that keep track of whether a client has an outstanding packet. When a client sends a packet, the client queue checks whether it is available. If so, the client queue marks itself busy and passes the packet down to the send queue.

These client queues provide isolation, as a single client cannot fill the send queue and starve others; this is in contrast to TinyOS 1.x collection layers, which used a shared queue.

### 5.2 Hybrid Send Queue

CTP Noe's lower level queue contains both route through- and locally-generated traffic (as in ARC [37]), maintained by a FIFO policy. This hybrid send queue is of length $C + F$, where C is the number of CTP Noe clients and $F$ is the size of the forwarding buffer pool. Following this policy means that, technically, the send queue never rejects a packet. If it is full, this means the forwarding path is using all of its buffers and all clients have an outstanding packet.

When CTP Noe receives a packet to forward, it first checks if the packet is a duplicate: Section 5.4 describes this process below. If the packet is a duplicate, it discards the packet. If the packet is not a duplicate, CTP Noe checks if it has a free packet buffer in its memory pool. If so, it puts the received packet on the send queue to be forwarded. Otherwise, it discards the packet.

### 5.3 Transmit Timer

Multihop wireless protocols encounter self-interference, where a node's transmissions collide with prior packets it has sent which other nodes are forwarding. For a route of nodes $A \rightarrow B \rightarrow C \rightarrow \ldots$, self-interference can easily occur at $B$ when $A$ transmits a new packet at the same time $C$ forwards the previous one [19].

CTP Noe prevents self interference by rate-limiting its transmissions. In the idealized scenario above where only the immediate children and parent are in the transmission range of a transmitter, if $A$ waits at least two packet times between transmissions, then it will avoid self-interference, as $C$ will have finished forwarding [37]. While real networks are more complex (the interference range can be greater than the transmit range), two packet times represents the minimum timing for a flow longer than two hops.

The transmission wait timer depends on the packet rate of the radio. If the expected packet time is $p$, then CTP Noe waits in the range of $(1.5p, 2.5p)$, such that the average wait time is $2p$ but there is randomization to prevent edge conditions due to MAC backoff or synchronized transmissions.

### 5.4 Transmit Cache

Link layer acknowledgments are not perfect: they are subject both to false positives and false negatives. False negatives cause a node to retransmit a packet which is already in the next hop's forwarding queue. CTP Noe needs to suppress these duplicates, as they can increase multiplicatively on each hop. Over a small number of hops, this is not a significant issue, but in face of the many hops of transient routing loops, this leads to an exponential number of copies of a packet that can overflow all queues in the loop [17].

Since CTP Noe forwards looping packets in order to actively repair its topology, CTP Noe needs to distinguish link-layer duplicates from looping packets. It detects duplicates by examining three values: the origin address, the origin sequence number, and the THL. Looping packets will match in the address and sequence number, but will have a different THL (unless the loop was a multiple of 256 hops long), while link-layer duplicates have matching THL values.

| Testbed | Location | Platform | Nodes | Physical size $m^2$ or $m^3$ | Degree | | PL | Cost | Cost PL | Churn node·hr |
|---------|----------|----------|-------|------------------------------|--------|-----|----|------|---------|---------------|
| | | | | | Min | Max | | | | |
| Tutornet (16) | USC | Tmote | 91 | 50×25×10 | 10 | 60 | 3.12 | 5.91 | 1.90 | 31.37 |
| Wymanpark | Johns Hopkins | Tmote | 47 | 80×10 | 4 | 30 | 3.23 | 4.62 | 1.43 | 8.47 |
| Motelab | Harvard | Tmote | 131 | 40×20×15 | 9 | 63 | 3.05 | 5.53 | 1.81 | 4.24 |
| Kansei[a] | Ohio State | TelosB | 310 | 40×20 | 214 | 305 | 1.45 | - | - | 4.34 |
| Mirage | Intel Research | Mica2dot | 35 | 50×20 | 9 | 32 | 2.92 | 3.83 | 1.31 | 2.05 |
| NetEye | Wayne State | Tmote | 125 | 6×4 | 114 | 120 | 1.34 | 1.40 | 1.04 | 1.94 |
| Mirage | Intel Research | MicaZ | 86 | 50×20 | 20 | 65 | 1.70 | 1.85 | 1.09 | 1.92 |
| Quanto | UC Berkeley | Epic-Quanto | 49 | 35×30 | 8 | 47 | 2.93 | 3.35 | 1.14 | 1.11 |
| Twist | TU Berlin | Tmote | 100 | 30×13×17 | 38 | 81 | 1.69 | 2.01 | 1.19 | 1.01 |
| Twist | TU Berlin | eyesIFXv2 | 102 | 30×13×17 | 22 | 100 | 2.58 | 2.64 | 1.02 | 0.69 |
| Vinelab | UVA | Tmote | 48 | 60×30 | 6 | 23 | 2.79 | 3.49 | 1.25 | 0.63 |
| Tutornet (26) | USC | Tmote | 91 | 50×25×10 | 14 | 72 | 2.02 | 2.07 | 1.02 | 0.04 |
| Blaze[b] | Rincon Research | Blaze | 20 | 30×30 | 9 | 19 | 1.30 | - | - | - |

[a] Packet cost logging failed on 10 nodes.
[b] Blaze instrumentation does not provide cost and churn information.

**Table 1. Testbed configuration and topology properties, from most to least dynamic. Cost is transmissions per delivery and PL is Path Length, the average number of hops a data packet takes. Cost/PL is the average transmissions per link. There are two entries for Tutornet with TMotes: one is 802.15.4 channel 16 the other channel 26.**

When CTP Noe receives a packet to forward, it scans its send queue for duplicates. It also scans a transmit cache containing the 3-tuples of the $N$ most recently forwarded packets. The cache is necessary for the case where duplicates arrive more slowly than the rate at which the node drains its queue: in this case, the duplicate will no longer be in the send queue.

For maximal efficiency, the transmit cache should be as large as possible. We have found that, in practice and even under high load, having a cache size of four slots is enough to suppress most ($> 99\%$) duplicates on the testbeds that we used for experiments. A larger cache improves duplicate detection slightly but not significantly enough to justify its cost on memory-constrained platforms.

## 6  Evaluation

This section evaluates how the mechanisms described above, namely adaptive control traffic rate, datapath validation, and the data plane optimizations, combine to achieve the four goals from Section 1: reliability, robustness, efficiency, and hardware independence.

We evaluate our implementation of CTP Noe, using the 4-bit link estimator from [11], on 12 different testbeds, encompassing seven platforms, six link layers, multiple densities and frequencies. Despite having anecdotal evidence of several successful real-world deployments of CTP Noe, these results focus on publicly available testbeds, because they represent at least theoretically reproducible results. The hope is that different testbed environments we examine sufficiently capture a reasonable degree of variation in hardware platforms, topology, and RF environment.

### 6.1  Testbeds

Table 1 summarizes the 12 testbeds we use. It lists the name, platform, number of nodes, physical span, and topology properties of each network. Some testbeds (e.g., Mirage) are on a single floor while others (e.g., Motelab) are on multiple floors. Unless otherwise noted, detailed experiments are on the Tutornet testbed.

The minimum and maximum degree column in Table 1 are the in-degree of the nodes with the smallest and largest number of links, respectively. To roughly quantify the link-layer topology of each testbed, we ran an experiment where each node broadcasts a packet every 16 seconds. The interval is randomized to avoid collisions. We consider all links that delivered at least one packet as part of the topology. We use this very liberal definition of a link because it is what a routing layer or link estimator must deal with: a single packet can add a node as a candidate, albeit perhaps not for long.

As the differing Tutornet results indicate, the link stability and quality results should not be considered definitive for all experiments. For example, most 802.15.4 channels share the same frequency bands as 802.11: 802.15.4 on an interfering channel has more packet losses and higher link dynamics than an uninterfering one. For example, Tutornet on channel 16 has the highest churn, while Tutornet on channel 26 has the lowest. We revisit the implications of this effect in Section 6.11. All of the values in Table 1 for 802.15.4 testbeds, with the exception of Quanto and channel 16 Tutornet experiment (Mirage, Tutornet, Vinelab, Twist, Wymanpark, Kansei, Neteye, Motelab) use the non-interfering channel 26. Channel allocation concerns prevented us from doing the same in Quanto: it was measured with channel 15.

To roughly quantify link stability and quality, we ran CTP Noe with an always-on link layer for three hours and computed three values: PL, the average path length (hops a packet takes to the collection root); the average cost (transmissions/delivery); and the node churn (parent change rate). We also look at cost/PL, which indicates how any transmissions CTP Noe makes on average per hop. Wide networks have a larger PL. Networks with many intermediate links or sparse topologies have a higher cost/PL ratio (sparsity means a node might not have a good link to use). Networks with more variable links or very high density have a high churn

| Testbed | Frequency | MAC | IPI | Avg Delivery | 5th% Delivery | Loss |
|---|---|---|---|---|---|---|
| Motelab | 2.48GHz | CSMA | 16s | 94.7% | 44.7% | Retransmit |
| Motelab | 2.48GHz | BoX-50ms | 5m | 94.4% | 26.9% | Retransmit |
| Motelab | 2.48GHz | BoX-500ms | 5m | 96.6% | 82.6% | Retransmit |
| Motelab | 2.48GHz | BoX-1000ms | 5m | 95.1% | 88.5% | Retransmit |
| Motelab | 2.48GHz | LPP-500ms | 5m | 90.5% | 47.8% | Retransmit |
| Tutornet (26) | 2.48GHz | CSMA | 16s | 99.9% | 100.0% | Queue |
| Tutornet (16) | 2.43GHz | CSMA | 16s | 95.2% | 92.9% | Queue |
| Tutornet (16) | 2.43GHz | CSMA | 22s | 97.9% | 95.4% | Queue |
| Tutornet (16) | 2.43GHz | CSMA | 30s | 99.4% | 98.1% | Queue |
| Wymanpark | 2.48GHz | CSMA | 16s | 99.9% | 100.0% | Retransmit |
| NetEye | 2.48GHz | CSMA | 16s | 99.9% | 96.4% | Retransmit |
| Kansei | 2.48GHz | CSMA | 16s | 99.9% | 100.0% | Retransmit |
| Vinelab | 2.48GHz | CSMA | 16s | 99.9% | 99.9% | Retransmit |
| Quanto | 2.425GHz | CSMA | 16s | 99.9% | 100.0% | Retransmit |
| Twist (Tmote) | 2.48GHz | CSMA | 16s | 99.3% | 100.0% | Retransmit |
| Twist (Tmote) | 2.48GHz | BoX-2s | 5m | 98.3% | 92.9% | Retransmit |
| Mirage (MicaZ) | 2.48GHz | CSMA | 16s | 99.9% | 99.8% | Queue |
| Mirage (Mica2dot) | 916.4MHz | B-MAC | 16s | 98.9% | 97.5% | Ack |
| Twist (eyesIFXv2) | 868.3MHz | CSMA | 16s | 99.9% | 99.9% | Retransmit |
| Twist (eyesIFXv2) | 868.3MHz | SpeckMAC-183ms | 30s | 94.8% | 44.7% | Queue |
| Blaze | 315MHz | B-MAC-300ms | 4m | 99.9% | - | Queue |

**Table 2. Summary of experimental results across the testbeds. The first section compares how different low-power link layers and settings affect delivery on Motelab. The second section compares how the 802.15.4 channel affects delivery on Tutornet. The third section shows results from other TelosB/TMote testbeds, and the fourth section shows results from testbeds with other platforms. In all settings, CTP Noe achieves an average delivery ratio of over 90%. In Motelab, a small number of nodes (the 5th percentile) have poor delivery due to poor connectivity.**

(density can increase churn because a node has more parents to try and choose from). As the major challenge adaptive beaconing and datapath validation seek to address is link dynamics, we order the testbeds on churn, from highest (Tutornet on channel 16) to lowest (Tutornet on channel 26).

Every experiment uses all available nodes. In some testbeds, this means the set of nodes across experiments is almost but not completely identical, due to backchannel connectivity issues. However, we do not prune problem nodes, a common approach in experimental studies using Motelab [35]. In the case of Motelab, this approach greatly affects the computed average performance, as some nodes are barely connected to the rest of the network.

## 6.2 Experimental Methodology

We modified three variables across all of the experiments: the inter-packet interval (IPI) with which the application sends packets with CTP Noe, the MAC layer used, and the node ID of the root node. Generally, to obtain longer routes, we picked roots that were in one corner of a testbed.

We used 6 different MAC layers, as shown in Table 2. All MAC layers used are the standard TinyOS 2.1.0 implementations. In the cases where we use low power link layers, we report the interval. For example, "BoX-1s" means BoX-MAC with a check interval of 1 second, while "LPP-500ms" means low-power probing with a probing interval of 500ms.

Evaluating efficiency is difficult, as temporal dynamics prevent knowing what the optimal route was for each packet. Therefore, we evaluate efficiency as a comparative measure. We compare CTP Noe with the TinyOS 2.1 implementation of MultihopLQI, a well-established, well-tested, and highly used collection layer that is part of the TinyOS release. As MultihopLQI has been used in recent deployments, e.g., on a volcano in Ecuador [36], we consider it a reasonable comparison. Other notable collection layers, such as Hyper [28], RBC [39] and Dozer [5] are either implemented in TinyOS 1.x (Hyper, RBC), or are closed source and specific to a platform (Dozer, tinynode). As TinyOS 2.x and 1.x have different packet scheduling and MAC layers, we found that comparing with 1.x protocols unfairly favors CTP Noe. Furthermore, MultihopLQI has been heavily used by a large number of groups with good success, such that using it unchanged is reasonable, something which is not typically true of pure research protocols.

## 6.3 Reliable, Robust, Hardware-independent

Before evaluating the effectiveness of each mechanism to the overall performance of CTP Noe, we first look at high-level results from experiments across multiple testbeds, as well as a long duration experiment. Table 2 shows results from 21 experiments across the 12 testbeds. In these experiments, we chose IPI values well below saturation, such that available throughput does not limit the delivery ratio. The Loss column describes the dominant cause of packet loss: *retransmit* means CTP Noe dropped a packet after 32 retransmissions, *queue means* it dropped a received packet due to a full forwarding queue, and *ack* means it heard link layer acknowledgments for packets that did not arrive successfully.

In all cases, CTP Noe maintains an average delivery ratio above 90%: it meets the reliability goal. The lowest aver-
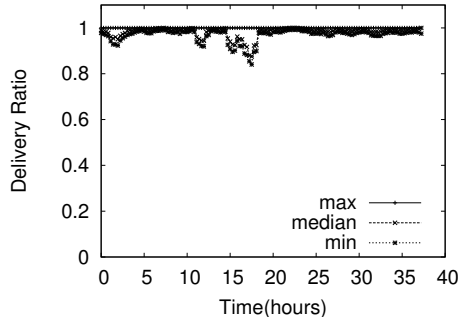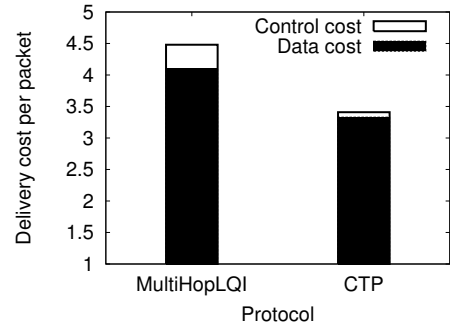
(a) Delivery Ratio for CTP Noe
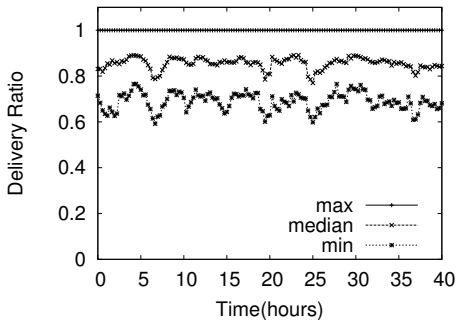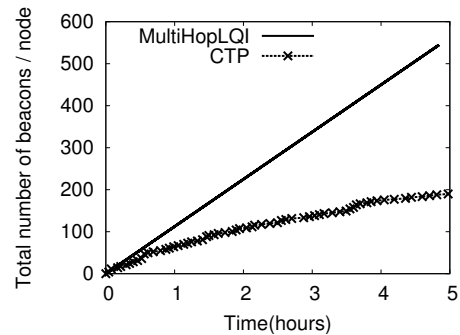


(b) Delivery Ratio for MultiHopLQI.

**Figure 2. CTP Noe has a consistently higher delivery ratio than MultiHopLQI. In these plots we show for each time interval the minimum, median, and maximum delivery ratio across all nodes.**



**Figure 3. CTP Noe's cost is 24% lower than MultiHopLQI and the portion of that is control is 73% lower.**



**Figure 4. CTP Noe's beaconing rate decreases and stabilizes over time. It is significantly smaller than MultihopLQI's over the long run.**

age delivery ratio is for Motelab using low power probing (500ms), 90.5%. The second lowest is Motelab using BoX-MAC (50ms), at 94.4%. In Motelab, packet loss is the dominant cause of failure: retransmission drops represent CTP Noe sending a packet 32 times yet never delivering it. Examining the logs, this occurs because some Motelab nodes are only intermittently and sparsely connected (its comparatively small minimum degree of nine in Table 1 reflects this). Furthermore, CTP Noe maintains this level of reliability across all configurations and settings, and requires configuration of a single constant, the expected packet transmission time, when used on different radio platforms. Thus, it meets the robustness and hardware independence goals. We therefore focus comparative evaluations on MultihopLQI.

To show the consistency of delivery ratio over time, in Figure 2(a), we show the result from one experiment when we ran CTP Noe for over 37 hours. The delivery ratio remains consistently high over the duration of the experiment. Figure 2(b) shows the result from a similar experiment with MultiHopLQI. Although MultiHopLQI's average delivery ratio was 85%, delivery is highly variable over time, occasionally dipping to 58% for some nodes. In the remainder of this section, we evaluate through detailed experiments how the different techniques we use in CTP Noe contribute to its higher delivery ratio, while maintaining low control traffic rates and agility in response to changes in the topology.

### 6.4 Efficiency

A protocol that requires a large number of transmissions is not well-suited for duty-cycled network. We measure data delivery efficiency using the cost metric which accounts for all the control and data transmissions in the network normalized by the packets received at the sink. This metric gives a rough measure of the energy spent delivering a single packet to the sink. Figure 3 compares the delivery cost for CTP Noe and MultiHopLQI. CTP Noe's cost is 24% lower than that of MultiHopLQI. The figure also shows that control packets for CTP Noe occupy a much smaller fraction of the cost than MultiHopLQI (2.2% vs. 8.4%). The decrease in data transmissions is a result of good route selection and agile route repair. The decrease in control transmissions is due to CTP Noe's adaptive beaconing.

### 6.5 Adaptive Control Traffic

Figure 4 shows CTP Noe's and MultihopLQI's control traffic from separate seven-hour experiments on Tutornet. CTP Noe's control traffic rate is high at network startup as CTP Noe probes and discovers the topology, but decreases and stabilizes over time. MultiHopLQI sends beacons at a fixed interval of 30 seconds. Using a Trickle timer allows CTP Noe to send beacons as quickly as every 64 ms and quickly respond to topology problems within a few packet times. By adapting its control rate and slowing down when the network is stable, however, CTP Noe has a much lower control packet rate than MultiHopLQI. At the same time,
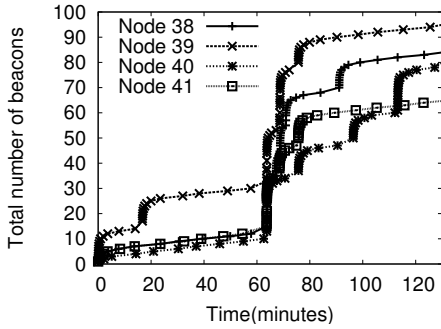
**Figure 5. Number of beacons for selected nodes in the neighborhood of the new node. There is a big jump in control traffic shortly after four new nodes are introduced and it levels off.**
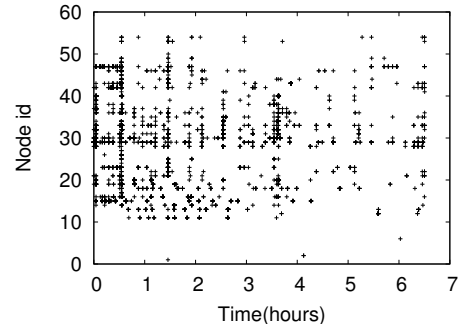


(a) Inconsistent routing states over time and by node; each point is a detected route inconsistency.



(b) Breakdown of control overhead from route inconsistencies.

**Figure 6. Route inconsistencies and repair**

it can respond to topology problems in 64 ms, rather than 30 seconds, a 99.8% reduction in response time.

Lower beacon rates are generally at odds with route agility. During one experiment, we introduced four new nodes in the network 60 minutes after the start. Figure 5 shows that the control overhead for selected nodes in the vicinity of the new nodes increases immediately after the nodes were introduced as beacons are sent rapidly. The beacon rate decays shortly afterward. The increase in beaconing rate (in response to the pull bit) was localized to the neighborhood of the nodes introduced, and produced fast convergence. New nodes were able to send collection packets to the sink within four seconds after booting.

## 6.6 Topology Inconsistencies

Next we look at how route inconsistencies are distributed over space and time, and their impact on control overhead. Figure 6(a) shows inconsistencies detected by each node in an experiment over a 6.5-hour period. Inconsistencies are temporally correlated across nodes, and typically constrained to a subset of nodes. The lowest curve in Figure 6(b) shows the cumulative count of route inconsistencies in the same experiment, and how the rate decreases over time. In the beginning, most of the inconsistencies are due to discovery and initial rounds of path selection. Over time, link dynamics are the dominant cause of inconsistencies. We have also observed a similar trend in number of parent changes: frequent changes in the beginning as the nodes discover new links and neighbors and fewer changes once the network has selected high quality routes.

When a node detects such an inconsistency, it resets its beacon timer. The top curve in Figure 6(b) shows the total number of routing beacons sent (Total Beacons). The middle curve, Churn Beacons, is the subset of these beacons sent by the Trickle timer when a parent change resets the interval. The difference between these two curves provides an upper bound on the number of beacons sent due to inconsistencies. It is an upper bound because the area between these two curves includes the beacons that would have been sent normally, at the slowest beacon interval, and some occasional beacon caused by packets with the pull bit set. In 6.5 hours, the nodes sent 12,299 total beacons while they
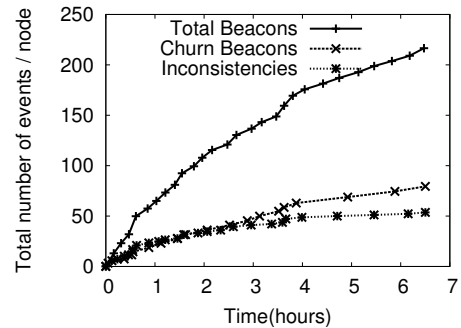
detected 3,025 inconsistencies and triggered 4,485 beacons due to parent change: CTP Noe sent 2.6 beacons per inconsistency detected in order to re-establish the path to the root.

## 6.7 Robustness to Failure

To measure how effectively the routes can adapt to node failures, we ran CTP Noe for two hours with an IPI of 8s. After 60 minutes, we removed the ten nodes that were forwarding the most packets in the network. CTP Noe uses the four-bit link estimator, which reflects changes in the topology in a few packet times. This resets the trickle timers and causes rapid route convergence around the failure.

Figure 7(a) plots the minimum, median, and maximum delivery ratio across node over time. The figure shows only a tiny change in delivery ratio due to the disruption: the minimum delivery ratio across the network drops to 98%. 15 nodes dropped one or two packets each right after the disruption, and most nodes found new routes in under one second. The 10-minute dip in the graph is an artifact of the sliding window we used to calculate average delivery ratio. The median delivery ratio remained at 100%.

Figure 7(b) shows the result of a similar experiment with MultiHopLQI. After 80 minutes we removed ten nodes that were forwarding the most packets. The resulting disruption, caused the delivery ratio of some nodes to drop as low as 60%, while the median delivery ratio dropped to 80%.
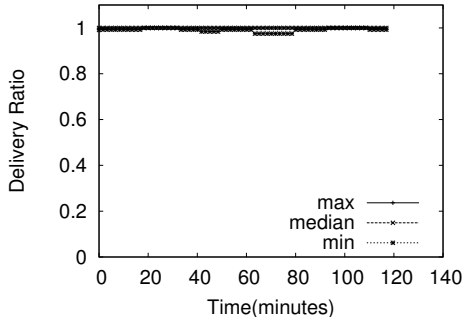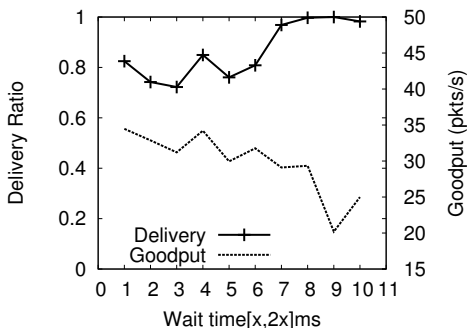
(a) Nodes fail at 60 minutes and CTP Noe does not observe any significant disruption.
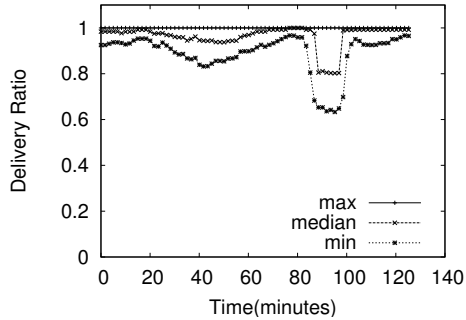
(b) Nodes fail at 80 minutes: MultihopLQI's median delivery drops to 80% for 10 minutes.

**Figure 7. Robustness of CTP Noe and MultiHopLQI when the 10 most-heavily-forwarding nodes fail.**



**Figure 8. Effect of a per-hop rate-limiting transmit timer on goodput and delivery ratio on the CC2420 radio. Wait time between packets is [x,2x] ms.**

## 6.8 Agility

The prior experiment shows that CTP Noe can quickly route around node failures when there is a constant stream of traffic. To delve deeper into how CTP Noe adapts to sudden topology changes, we ran a different experiment. We ran CTP Noe on Tutornet with each node generating a data packet every eight seconds for six minutes, allowing it to settle on a good routing tree delivering 100% of the packets. Then we stopped generating data traffic on all the nodes for 14 minutes. At the 20th minute, we removed (erased the program running on the mote) node 26 from the network and shortly thereafter made node 53 (node 26's child in the routing tree) start sending data packets. As expected, packet transmissions from node 53 to non-existent node 26 failed.

We found that after twelve packet transmissions (325 ms), CTP Noe switched to node 40 as its new parent. Thus, although the beacon rate in the network had decreased to one beacon every eight minutes, CTP Noe was able to quickly (in 325ms), select a new parent when its existing parent was removed from the network. CTP Noe remains efficient even when the beacon interval decays tens of minutes, maintaining the ability to react to topology changes within a few packet transmission times.

## 6.9 Transmit Timer

CTP Noe pauses briefly between packet transmissions to avoid self-interference, as described in Section 5.3. Here we show how we established this value for the CC2420 radio and quantify its benefit to CTP Noe's reliability.

Figure 8 shows how the duration of a transmission wait timer affects a single node flow on channel 26 in the Tutornet testbed. In this experiment, a single node sends packets as fast as it can across 3-hops to the data sink. The transmission timers in Figure 8 range from $[1, 2]$ to $[10, 20]$ms. At values below $[7, 14]$ms, delivery dips below 95%.

Although goodput increases slightly with smaller transmit timers, this benefit comes at a significant cost: the delivery ratio drops as low as 72%, which does not satisfy the reliability requirement. However, as the timer length increases past $[8, 16]$ms, goodput drops significantly as the timer introduces idleness. Therefore, CTP Noe uses 7–14ms (1.5–3 average packet times) as its wait timer for the CC2420 radio.

Similarly, CTP Noe uses 1.5–3 average packet times as its transmit timer on other radios. We have found that this setting works across the platforms and testbeds in these experiments but factors such as load, density, and link qualities ultimately determine the maximum rate that a path can accommodate. Some MACs might introduce large delays between the packets, in which case, CTP Noe transmit timers can be smaller.

Although CTP Noe's primary goal is not high throughput traffic, its use of transmit timers allows it to avoid collisions while under high load. Transmit timers are insufficient for end-to-end reliability: bottleneck links of low PRR can overflow transmit queues. Robust end-to-end reliability requires higher-layer congestion and flow control [14, 22, 26, 36], but CTP Noe's transmit timers make its reliability more robust to the high load these protocols can generate.

## 6.10 Transmit Cache

We evaluate the transmit cache by running two experiments on Tutornet. Both experiments use the CSMA link layer, have an IPI of 8s, and use 802.15.4 channel 16. The first experiment uses standard CTP Noe; the second disables its transmit cache. CTP Noe has an average cost of 3.18 packets/delivery. Disabling the transmit cache increases this

| Chan. | Freq. | Delivery | PL | Cost | Cost PL | Churn node-hr |
|---|---|---|---|---|---|---|
| 16 | 2.43GHz | 95.2% | 3.12 | 5.91 | 1.894 | 31.37 |
| 26 | 2.48GHz | 99.9% | 2.02 | 2.07 | 1.025 | 0.04 |

**Table 3. Results on how channel selection effects CTP Noe's performance on Tutornet. Channel 16 overlaps with Wi-Fi; channel 26 does not.**
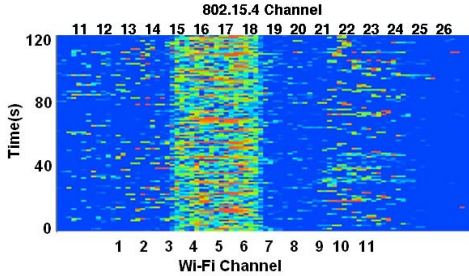


**Figure 9. 802.11 activity captured using the Wi-Spy Spectrum Analyzer tool on Tutornet. Channel 1 and 11 are most heavily used by the building occupants.**

to 3.47 packets/delivery, a 9% increase. The transmit cache improves CTP Noe's efficiency by 9%.

These cost values are over 50% higher than those reported in Table 1 because channel 16 suffers from 802.11 interference, while the results in Table 1 are on channel 26, which does not. The next section examines how CTP Noe responds to external interference in greater detail.

### 6.11 External Interference

The first two results in the second set of rows in Table 2 are obtained from experiments on Tutornet with the same link layer, transmission rate, and root node, but differ significantly in their delivery ratio. This difference is due to the 802.15.4 channel they used. The experiment on channel 26 (2.48 GHz) observed an average delivery ratio of 99.9%; the experiment on channel 16 (2.43 GHz) observed an average delivery ratio of 95.2%. Table 3 summarizes the differences between the two settings.

Using channel 16, the average path length increased from 2.02 to 3.12 hops and the cost increased from 2.07 to 5.91 transmissions per successfully delivered packet. The increase in cost is not only due to longer paths but also a larger number of transmissions per hop, which increased from 1.025 to 1.894.

Channel 16 overlaps with several 802.11b channels (2-6), while channel 26 is almost entirely outside the 802.11b band. Figure 9 shows Wi-Fi activity by Wi-Fi channel on the Tutornet testbed. RF interference from Wi-Fi causes link qualities to drop, increases tree depth because longer links are generally less reliable. It also causes a larger number of retransmissions, decreasing effective capacity.

To test this hypothesis, we re-ran the channel 16 experiment with inter packet intervals of 22 seconds and 30 seconds. Table 2 shows the results. At 22 seconds, CTP Noe has an average delivery ratio of 97.9% and at 30 seconds it has 99.4%.CTP Noe achieves high delivery even with high ex-

| Link Layer | Average Delivery | PL | Cost | Cost PL | Duty Cycle | |
|---|---|---|---|---|---|---|
| | | | | | Median | Mean |
| CSMA | 94.7% | 3.05 | 5.53 | 1.81 | 100.0% | 100% |
| BoX-50ms | 94.4% | 3.28 | 6.48 | 1.98 | 24.8% | 24.9% |
| BoX-500ms | 97.1% | 3.38 | 6.61 | 1.96 | 4.0% | 4.6% |
| BoX-1s | 95.1% | 5.40 | 8.34 | 1.54 | 2.8% | 3.8% |
| LPP-500ms | 90.5% | 3.76 | 8.55 | 2.27 | 6.6% | 6.6% |

**Table 4. Detailed Motelab results on how link layer settings affect CTP Noe's topology and performance.**
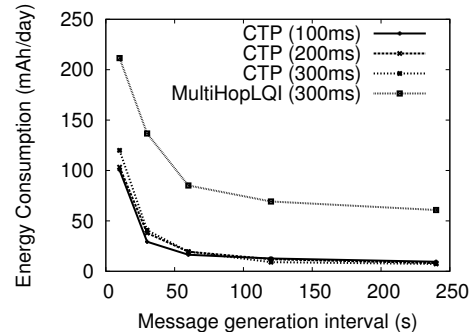


**Figure 10. Energy consumption for CTP Noe and Multi-HopLQI for 100ms-300ms sleep intervals.**

ternal interference, but achieves lower sustainable data rate on a busy channel.

### 6.12 Link Layers

The first section of Table 2 contains six experiments on the Motelab testbed using the standard TinyOS CSMA layer, low-power listening with BoX-MAC, and low-power probing with LPP. Table 4 has further details on these results.

Low-power listening observes longer paths (higher average PL) and higher end-to-end costs, but the per-link cost (cost/PL) decreases. Longer sleep intervals cause CTP Noe to choose longer routes of more reliable links. This shift is especially pronounced once the interval is above 500ms. The sleep interval the link layer uses affects the link qualities that CTP Noe observes. If the signal-to-noise ratio is completely stable, link qualities are independent of how often a node checks the channel. There are temporal variations in the signal-to-noise ratio: this suggests that low-power link layers should consider the effects of link burstiness [29].

Low-power probing generally under-performs low-power listening. For the same check interval, it has a lower delivery ratio and a higher duty cycle. This result should not be interpreted as a general comparison of the two, however. Each implementation can of course be improved, CTP Noe is only one traffic pattern, and we only compared them on a single testbed with a single traffic rate. Nevertheless, CTP Noe meets its reliability goal on both.

We also ran CTP Noe with low-power link layers on both Twist testbeds. For the eyesIFXv2 platform, we used the SpeckMAC layer, with a check interval of 183 ms. The lower delivery ratio with SpeckMAC compared to CSMA is due to queue overflows on the bottleneck links because of longer transmission times at the MAC layer.

## 6.13 Energy Profile

For a more detailed examination of CTP Noe's energy performance, we use the Blaze platform, developed by Rincon Research. Blaze has a TI MSP430 microcontroller and a CC1100 [1] radio.[3] We used a 20-node network that Rincon Research has deployed in a $33 \times 33$m space. One node in this testbed has a precision 1 $\Omega$ resistor in series with the battery, connected to a high precision 24-bit ADC using a data acquisition unit. We later converted this voltage to energy and extrapolated to per day energy consumption.

We ran a total of 20 experiments for energy profiling, changing the MAC sleep interval and application data generation interval. For each experiment, we let the network warm up for about 15 minutes. We then use a dissemination protocol to request the nodes to start sending data at a given message interval. We collected energy data for 15 minutes.

The platform consumes 341.6 mAh/day in idle mode without duty-cycling. The result from figure 10 shows that the the full CTP Noe stack can run for as little as 7.2 mAh/day, compared to 60.8 mAh/day for MultiHopLQI. During these experiments CTP Noe consistently delivered 99.9% of the data packets to the sink.

This result shows that CTP Noe with a properly-designed low power hardware platform can be used in long lasting deployments: even with a moderately-rapid (for a low power network) message interval of 240 seconds, two AA batteries (5000 mAh) can supply sufficient energy to run a node for more than 400 days. This result is significant because it considers the cost for running a full network stack consisting of dissemination and CTP Noe protocols.

CTP Noe's low energy profile is possible because it selects efficient paths, avoids unnecessary control traffic, and actively monitors the topology using the the data plane. Reduction in control traffic is especially important in these networks because broadcast packets must be transmitted with long preambles. CTP Noe's ability to taper off that overhead using exponentially increasing beacon interval allows it to achieve much lower energy consumption.

## 6.14 Testbed Observations

The most salient differentiating dynamics property that we found across the testbeds is churn. On Motelab and Kansei, the churn is higher than on other testbeds. Analysis of CTP Noe logs show that some sections of Motelab are very sparse and have only a few links with very low PRR. These low-quality links are bursty, such that nodes cycle through their list of alternative parents and actively probe the network in search of better parents. These small number of nodes account for most of the churn in the network—7% of the nodes accounted for 76% of parent changes on Motelab. This also explains why most packet losses in Motelab are due to retransmission timeouts.

On Tutornet and Kansei, churn is more uniform across nodes, but for different reasons. When operating on an interfering channel, Tutornet sees bursty links due to bursts of 802.11 interference, causing nodes to change parents often. On a non-interfering channel, CTP Noe has very low churn

on Tutornet. These bursts of interference cause nodes to be unable to deliver packets for periods of time, causing queue overflows to be the dominant cause of packet loss. In Kansei, the high churn is due to the sheer degree of the network.

## 7 Related Work

The mechanisms we describe in this paper draw on our experiences using collection layers such as MultihopLQI [2] and MintRoute [38], and the tradeoff they introduce between cost and responsiveness. CTP Noe's forwarding timer borrows from work on reliable sensornet transport protocols [14, 27, 30, 32] which seek to maximize throughput by enabling pipelining through transmission timing.

These two mechanisms have been mentioned before in the literature: the 6lowpan/IP stack by Hui at al. uses both Trickle-based beaconing and datapath validation [12]. This networking stack was developed concurrently with CTP Noe: the two share ideas exchanged on mailing lists in 2005-7. Where Hui et al.'s paper presented the two techniques as small parts of a larger system evaluated on a single testbed, however, this paper deeply evaluates them across a wide range of link layers, platforms, workloads, and environments, as well as examine the additional low-level systems issues that arise when incorporating them into a routing layer. The use of both techniques in two heavily tested, robust network layers provides greater evidence that these principles are more general than our specific implementation of them in CTP Noe.

At a high level, adaptive beaconing and datapath validation combine elements of proactive and reactive routing paradigms, proactively maintaining (at low cost) a rough approximation of the best routing gradient, and making an effort to improve the paths data traffic traverses. CTP Noe draws on mesh routing work, using ETX as its routing metric: this work established that minimizing either the expected number of transmissions (ETX [6]) or a bandwidth-aware function of the expected number of transmissions (ENT [8]) along a path [38] constructs good routes. While ETX does not effectively capture throughput – a limitation in IP meshes – its measurement is perfectly suited to low-power sensor networks, which seek to minimize transmissions. Where modern WiFi protocols, such as ROMA [7], still struggle with the discrepancy between periodic beacon measurements and actual link behavior, CTP Noe avoids this problem by using the 4-bit link estimator.

Adaptive beaconing extends Trickle [18] to time its routing beacons. Using Trickle enables quick discovery of new nodes and recovery from failures, while at the same time enabling long beacon intervals when the network is stable. This approximates beaconless routing [40] in stable and static networks without sacrificing agility or new node discovery.

A large body of sensor network protocol work examines how to mitigate congestion when traffic concentrates around one node [3,9,13,26,33,34]. CTP Noe's transmit timers (Section 6.9) prevent self-interference by a single transmitter, but do not coordinate transmitters. CTP Noe provides an underlying routing topology and leaves inter-node congestion to higher or lower layers. Finally, we note Dozer [5], a propri-

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[3]Rincon Research maintains Blaze support code in the TinyOS 2.x "external contributions" repository.

etary collection protocol running exclusively on Shockfish hardware, whose source code we could not obtain.

Like IP routing layers, CTP Noe does not provide end-to-end reliability. In contrast, RAP [20] attempts to deliver data to a sink within a time constraint, or not at all, a different set of requirements than is typical to packet routing. However, RAP uses similar mechanisms as CTP Noe, such as MAC priorities and queuing mechanisms. RBC [39] attempts to delivers bursty sensornet data reliably, using similar mechanisms as CTP Noe as well as block acknowledgments.

## 8 Experiences

CTP Noe is the result of a four-year effort that started in 2005. In 2005, despite the critical importance of a collection layer in almost every sensor network deployment, there was no well-tested and efficient protocol that met the requirements described in Section 1. Different research group implemented their own collection protocol, or noted ways in which existing protocol implementations were insufficient.

As we began looking into the problem, we noted fundamental limitations in existing approaches. For example, the sampling bias of physical layer measurements (e.g., RSSI or LQI) can lead to inaccurate link estimates, while periodic beacons can lead to poor link choices when links are bursty [29].

Our measurement studies also exposed how much environmental conditions can vary. Table 1 shows the tremendous variation across just indoor testbeds. A protocol tested in one environment may not work in another. For example, while MultihopLQI performs reasonably well in Mirage, in Tutornet its performance is not consistent over time due to the high exposure to time-varying interference from 802.11b/g networks in the building. Because CTP Noe's design and testing was spread across institutions, each contributor typically had a local testbed whose results differed from others. Based on these experiences, we believe that testing and debugging protocols across multiple testbeds is critical for experimental evidence.

From a system implementation standpoint, the most important decision we made in CTP Noe's design was including a detailed logging layer. This layer reports every major event (parent change, data packet reception, transmissions, etc.) to the serial port. Using these logs transformed a guessing game of the causes of packet failures to a simple science of log inspection, where we could track the progress of every packet in the network.[4] These detailed logs, for example, enabled us to find a memory leak in the forwarding path, where packet drops would slowly reduce the size of the forwarding pool.

Over the last four years, we have seen CTP Noe deployed in a wide variety of applications and configurations, some unexpected and unintended. One of the CTP Noe deployments by Rincon uses an intermittently connected sink. Although we designed CTP Noe for low data rate regime, and most of its deployments are in that area, researchers have tried to use CTP Noe on higher data rate applications, sometimes successfully [15], and sometimes with additional mechanisms for flow control [4]. These experiences suggest

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that despite claims that collection is a solved problem, a significant gap between single experiments and general performance remains: making protocols robust across environments is an open and critical research challenge.

We hope CTP Noe serves as a demonstration of the importance of serious engineering and deployment effort in wireless protocol research; it was only with the engineering and deployment effort by the wider TinyOS community that we were able to uncover, explore the performance issues and thus research adaptive beaconing and datapath validation.

## 9 Conclusions

This paper describes two routing mechanisms, adaptive beaconing and datapath validation. These mechanisms allow a collection protocol to remain efficient, robust, and reliable in the presence of a highly dynamic link topology. Our implementation of these mechanisms, CTP Noe, offers 90-99.9% packet delivery in highly dynamic environments while sending up to 73% fewer control packets than existing approaches. It is highly robust to topology changes and failures. It makes minimal assumptions on the physical and link layer, allowing it to run on a wide range of platforms without any need for fine-tuning parameters. Minimizing control traffic, combined with efficient route selection, allows CTP Noe to achieve duty cycles of $< 3\%$ while supporting aggregate loads of 25 packets/minute.

The efficacy of these two techniques suggests that the limitations of many wireless protocols today may be addressed by judiciously integrating the data and control planes. This indicates a way in which wireless protocols are fundamentally different than their wired siblings. While these techniques are presented in the context of collection, an open question worthy of future work is whether they are general to distance vector algorithms, and so may have broader applicability in ad-hoc networking.

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