

# **An Iterated Local Search heuristic for the Heterogeneous Fleet Vehicle Routing Problem**

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**Abstract** This paper deals with the Heterogeneous Fleet Vehicle Routing Problem (HFVRP). The HFVRP is  $\mathcal{NP}$ -hard since it is a generalization of the classical Vehicle Routing Problem (VRP), in which clients are served by a heterogeneous fleet of vehicles with distinct capacities and costs. The objective is to design a set of routes in such a way that the sum of the costs is minimized. The proposed algorithm is based on the Iterated Local Search (ILS) metaheuristic which uses a Variable Neighborhood Descent procedure, with a random neighborhood ordering (RVND), in the local search phase. To the best of our knowledge, this is the first ILS approach for the HFVRP. The developed heuristic was tested on well-known benchmark instances involving 20, 50, 75 and 100 customers. These test-problems also include dependent and/or fixed costs according to the vehicle type. The results obtained are quite competitive when compared to other algorithms found in the literature.

**Keywords** Heterogeneous Fleet Vehicle Routing Problem, Fleet Size and Mix, Metaheuristic, Iterated Local Search

## **1 Introduction**

The Vehicle Routing Problem (VRP) is one of the best known problems in the field of Operations Research. Inspired by real world applications, several variants were proposed over the years. Our interest relies on the Heterogeneous Fleet Vehicle Routing Problem (HFVRP). This variant is a generalization of the classical VRP allowing vehicles with different capacities, instead of a homogeneous fleet. This situation can be often found in practice and the HFVRP models this kind of applications.

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According to Hoff et al (2010), in industry, a fleet of vehicles is rarely homogeneous. Generally, either an acquired fleet is already heterogeneous or they become heterogeneous over the time when vehicles with different features are incorporated into the original fleet. In addition, insurance, maintenance and operating costs usually have distinct values according to the level of depreciation or usage time of the fleet. Moreover, from both a tactical and an operational point of view, a mixed vehicle fleet also increases the flexibility in terms of distribution planning.

The HFVRP practical importance can be verified by the variety of case studies found in the literature. Prins (2002) described an application in the french furniture industry involving 775 destination stores in which the heterogeneous fleet is composed by 71 vehicles. Cheung and Hang (2003) examined a case faced by transportation of air-cargo freight forwarders where the vehicle fleet is heterogeneous. Additional constraints such as backhauls and time windows were also taken into account by the authors. Tarantilis and Kiranoudis (2001) considered a real world case regarding the distribution of fresh milk in Greece that is performed using a heterogeneous fixed fleet. Tarantilis and Kiranoudis (2007) presented two planning problems where the first one dealt with the distribution of perishable foods for a major dairy company while the second one dealt with the distribution of ready concrete for a construction company. In these two cases, the fleet was admitted to be fixed and heterogeneous. A comprehensive survey on industrial aspects of combined fleet composition and routing in maritime and road-based transportation was recently performed by Hoff et al (2010)

There are a couple of HFVRP variants often found in the literature. They are basically related to the fleet limitation (limited or unlimited) and the costs considered (dependent and/or fixed). The HFVRP with unlimited fleet, also known as the Fleet Size and Mix (FSM), was proposed by Golden et al (1984) and it consists of determining the best fleet composition and its optimal routing scheme. Another HFVRP version, called Heterogeneous VRP (HVRP), was proposed by Taillard (1999) and it consists in optimizing the use of the available fixed fleet.

The HFVRP is  $\mathcal{NP}$ -hard since it includes to the classical VRP as a special case, when all vehicles are identical. Therefore, (meta)heuristic algorithms are a suitable approach for obtaining high quality solutions in an acceptable computation time.

In this paper, we present a hybrid heuristic based on the Iterated Local Search (ILS) metaheuristic which uses a Variable Neighborhood Descent procedure, with a random neighborhood ordering (RVND), in the local search phase. According to Lourenço et al (2003), ILS contains several of the desirable features of a metaheuristic such as simplicity, robustness, effectiveness and the ease of implementation. The authors also described a number of well-succeeded ILS implementations for different Combinatorial Optimization problems such as the Traveling Salesman Problem (TSP), Job Shop, Flow Shop, MAX-SAT, etc. Surprisingly, to date there are relatively few applications of this metaheuristic to VRPs (see, for example, Bianchi et al (2006) Ibaraki et al (2008), Prins (2009a), Subramanian et al (2010), Chen et al (2010)). Nevertheless, the computational results found by these researchers who have made use of an ILS approach to solve some VRP variant are quite encouraging. To the best of our knowledge, this is the first ILS approach developed for the HFVRP. The proposed heuristic is an extension of the one presented by Subramanian et al (2010) for

the VRP with Simultaneous Pickup and Delivery. Five HFVRP variants were tackled and the results obtained were compared with other solution approaches found in the literature.

The remainder of this paper is organized as follows. Section 2 describes the HFVRP and its main variants. Section 3 reviews some works related to the HFVRP. Section 4 provides a brief outline of the ILS metaheuristic. Section 5 explains the proposed hybrid heuristic. Section 6 contains the results obtained and a comparison with those reported in the literature. Section 7 presents the concluding remarks of this work.

## 2 Problem Description

The HFVRP is defined in the literature as follows. Let  $G = (V, A)$  be a directed graph where  $V = \{0, 1, \dots, n\}$  is a set composed by  $n + 1$  vertices and  $A = \{(i, j) : i, j \in V, i \neq j\}$  is the set of arcs. The vertex 0 denotes the depot, where the vehicle fleet is located, while the set  $V' = V \setminus \{0\}$  is composed by the remaining vertices that represent the  $n$  customers. Each customer  $i \in V'$  has a non-negative demand  $q_i$ . The fleet is composed by  $m$  different types of vehicles, with  $M = \{1, \dots, m\}$ . For each  $u \in M$ , there are  $m_u$  available vehicles, each with a capacity  $Q_u$ . Every vehicle is associated with a fixed cost denoted by  $f_u$ . Finally, for each arc  $(i, j) \in A$  there is an associated cost  $c_{ij}^u = d_{ij}r_u$ , where  $d_{ij}$  is the distance between the vertices  $(i, j)$  and  $r_u$  is a dependent (variable) cost per distance unit, of a vehicle  $u$ .

A route is defined by the pair  $(R, u)$ , with  $R = (i_1, i_2, \dots, i_{|R|})$  and  $i_1 = i_{|R|} = 0$  and  $\{i_2, \dots, i_{|R|-1}\} \subseteq V'$ , that is, each route is a circuit in  $G$ , including the depot, associated with a vehicle  $u \in M$ . A route  $(R, u)$  is feasible, if the customers demands do not exceed the capacity of the vehicle. The cost associated to a route is the sum of the fixed cost of the corresponding vehicle and the cost of the traversed arcs. This way, the HFVRP consists in finding feasible routes in such a way that each customer is visited exactly once; the maximum number of routes defined for a vehicle  $u \in M$  do not exceed  $m_u$ ; and the sum of the costs is minimized.

The present work deals with the five following variants:

- i. HVRPFD, limited fleet, with fixed and dependent costs;
- ii. HVRPD, limited fleet, with dependent costs but without fixed costs, i.e.,  $f_u = 0, \forall k \in M$ ;
- iii. FSMFD, unlimited fleet, i.e.,  $m_u = +\infty, \forall k \in M$ , with fixed and dependent costs;
- iv. FSMF, unlimited fleet, with fixed costs but without dependent costs, i.e.,  $c_{ij}^{u_1} = c_{ij}^{u_2} = c_{ij}, \forall u_1, u_2 \in M, u_1 \neq u_2, \forall (i, j) \in A$ ;
- v. FSMD, unlimited fleet, with dependent costs but without fixed costs.

## 3 Literature Review

The first HFVRP variant studied in the literature was the FSM, initially proposed by Golden et al (1984). The authors developed two heuristics where the first one is based on the savings algorithm of Clarke and Wright (1964), while the second one makes

use of a giant tour scheme. They also proposed a mathematical formulation for the FSMF and presented some lower bounds.

Some exact approaches were developed for the FSM. Yaman (2006) suggested valid inequalities and presented lower bounds for the FSMF. Choi and Tcha (2007) obtained lower bounds for all FSM variants by means of a column generation algorithm based on a set covering formulation. Pessoa et al (2009) proposed a Branch-Cut-and-Price (BCP) algorithm also capable of solving all FSM variants. The same authors also employed a BCP algorithm over an extended formulation to solve the FSM and other VRPs such as the Open VRP and the Assymetric VRP (Pessoa et al, 2008). More recently, Baldacci and Mingozzi (2009) put forward a set-partitioning based algorithm that uses bounding procedures based on linear relaxation and lagrangean relaxation to solve the five HFVRP variants mentioned in Section 2. Their solution method is capable of solving instances with up to 100 customers and, to our knowledge, this is the best exact approach proposed in the HFVRP literature.

Some authors implemented heuristic procedures based on Evolutionary Algorithms. Ochi et al (1998a) developed a hybrid evolutionary heuristic that combines a Genetic Algorithm (GA) (Holland, 1975) with Scatter Search (Glover et al, 2003) to solve the FSMF. A parallel version, based on the island model, of the same algorithm was presented by Ochi et al (1998b). A hybrid GA that applies a local search as a mutation method was proposed by Liu et al (2009) to solve the FSMF and the FSMD. A Memetic Algorithm (MA) (Moscato and Cotta, 2003) was proposed by Lima et al (2004) for solving FSMF. Two heuristic procedure based on the same metaheuristic were developed by Prins (2009b) to solve all FSM variants and the HVRPD.

Renaud and Boctor (2002) proposed a sweep-based heuristic for the FSMF that integrates classical construction and improvement VRP approaches. Imran et al (2009) developed a Variable Neighborhood Search (VNS) (Mladenovic and Hansen, 1997) algorithm that makes use of a procedure based on Dijkstra's and sweep algorithms for generating an initial solution and several neighborhood structures in the local search phase. The authors considered all FSM variants.

A couple of Tabu Search (TS) heuristics were proposed to solve the FSMF and the FSMD. Gendreau et al (1999) suggested a TS algorithm that incorporates a GENIUS approach and an AMP. Lee et al (2008) developed an algorithm that combines TS with a SP approach. More recently, Brandão (2009) proposed a deterministic TS that makes use of different procedures for generating initial solutions.

The HVRP was proposed by Taillard (1999). The author developed an algorithm based on AMP, TS and column generation which was also applied to solve the FSM.

Prins (2002) dealt with the HVRP by implementing a heuristic that extends a series of VRP classical heuristics followed by a local search procedure based on the Steepest Descent Local Search and TS.

Tarantilis et al (2003) solved the HVRPD by means of a threshold accepting approach that consists of an adaptation of the SA procedure in which a worse solution is only accepted if it is within a given threshold. The same authors (Tarantilis et al, 2004) also proposed another threshold accepting procedure to solve the same variant. Li et al (2007) put forward a record-to-record travel algorithm that, also as the threshold method, consists of a deterministic variant of the SA. The authors considered both HVRPFD and HVRPD.

A HFVRP comprehensive survey containing all the five variants mentioned here can be found in Baldacci et al (2008).

#### 4 A Brief Overview of the ILS Metaheuristic

The proposed general heuristic is mostly based on the ILS framework. Before describing the solution method, a brief outline of this metaheuristic is provided.

Consider a local optimum solution that has been found by a local search algorithm. Instead of restarting the same procedure from a completely new solution, the ILS metaheuristic applies a local search repeatedly to a set of solutions obtained by perturbing previously visited local optimal solutions. The essential idea of ILS resides in the fact that it focuses on a smaller subset, instead of considering the total space of solutions. This subset is defined by the local optimum of a given optimization procedure (Lourenço et al, 2003). To implement an ILS algorithm, four procedures should be specified: (i) `GenerateInitialSolution`, where an initial solution is constructed; (ii) `LocalSearch`, which improves the solution initially obtained; (iii) `Perturb`, where a new starter point is generated through a perturbation of the solution returned by the `LocalSearch`; (iv) `AcceptanceCriterion`, that determines from which solution the search should continue. Alg. 1 describes how these components are combined to build the ILS framework.

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#### Algorithm 1: ILS

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```

1 Procedure ILS
2    $s_0 \leftarrow \text{GenerateInitialSolution}$ 
3    $s^* \leftarrow \text{LocalSearch}(s_0)$ 
4   while Stopping criterion is not met do
5      $s' \leftarrow \text{Perturb}(s^*, \text{history})$ 
6      $s^{*'} \leftarrow \text{LocalSearch}(s')$ 
7      $s^* \leftarrow \text{AcceptanceCriterion}(s^*, s^{*'}, \text{history})$ 
8   end
9 end

```

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The modification realized in the perturbation phase is used to escape from a current locally optimal solution. Frequently, the move is randomly chosen within a larger neighborhood than the one utilized in the local search, or a move that the local search cannot undo in just one step. In principle, any local search method can be used. However, ILS performance, in terms of the solution quality and computational effort, strongly depends on the chosen procedure. The acceptance criterion is used to decide the next solution that should be perturbed. The choice of this criterion is important because it controls the balance between intensification and diversification. The search history is employed for deciding if some previously found local optimum should be chosen. The performance of the ILS procedure strongly depends on the intensity of the perturbation mechanisms. If it is small, not many new solutions will be explored, while if it is too large, it will adopt almost randomly starting points.

## 5 The ILS-RVND Heuristic

This section describes the ILS-RVND heuristic and its steps are summarized in Alg. 2. For the HVRP, the given number of vehicles of each type is initially considered, while for the FSM, one vehicle of each type is first considered (line 2). Let  $v$  be the number of vehicles (line 3). The multi-start heuristic executes  $MaxIter$  iterations (lines 4-24), where at each iteration a solution is generated by means of a constructive procedure (line 5). The parameter  $MaxIterILS$  represents the maximum number of consecutive perturbations allowed without improvements (line 8). This value is calculated based on the number of customers and vehicles and on a given parameter  $\beta$  (see Subsection 6.1). The main ILS loop (lines 9-20) aims to improve the generated initial solution using a RVND procedure (line 10) in the local search phase combined with a set of perturbation mechanisms (line 18). Notice that the perturbation is always performed on the best current solution ( $s'$ ) of a given iteration (acceptance criterion).

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### Algorithm 2: ILS-RVND

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```

1 Procedure ILS-RVND( $MaxIter, \beta$ )
2   Initialize fleet
3    $v \leftarrow$  total number of vehicles
4   for  $i \leftarrow 1$  to  $MaxIter$  do
5      $s \leftarrow$  GenerateInitialSolution( $v$ )
6      $s' \leftarrow s$ 
7      $iterILS \leftarrow 0$ 
8      $MaxIterILS \leftarrow$  ComputeMaxIterILS( $n, v, \beta$ )
9     while ( $iterILS \leq MaxIterILS$ ) do
10       $s \leftarrow$  RVND( $s$ )
11      if (unlimited fleet) then
12        UpdateFleet()
13      end
14      if ( $f(s) < f(s')$ ) then
15         $s' \leftarrow s$ 
16         $iterILS \leftarrow 0$ 
17      end
18       $s \leftarrow$  Perturb( $s'$ )
19       $iterILS \leftarrow iterILS + 1$ 
20    end
21    if ( $f(s') < f^*$ ) then
22       $s^* \leftarrow s'$ 
23    end
24  end
25  return  $s^*$ 
26 end

```

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The next subsections provide a detailed explanation of the main components of the ILS-RVND heuristic.

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## 5.1 Constructive Procedure

The constructive procedure makes use of two insertion criteria, namely the Modified Cheapest Feasible Insertion Criterion (MCFIC) and the Nearest Feasible Insertion Criterion (NFIC). Also, two insertion strategies were employed, specifically the Sequential Insertion Strategy (SIS) and the Parallel Insertion Strategy (PIS).

The pseudocode of the constructive procedure is presented in Alg. 3. Let the Candidate List (CL) be initially composed by all customers (line 2). Each route is filled with a seed customer  $k$ , randomly selected from the CL (lines 4-7). An insertion criterion and an insertion strategy is chosen at random (lines 8-9). An initial solution is generated using the selected combination of criterion and strategy (lines 10-14). If the solution  $s$  is infeasible we restart the constructive procedure (lines 15-17). If the fleet is unlimited (FSM), an empty route associated to each type of vehicle is added to the constructed solution  $s$  (line 18). These empty routes are necessary to allow a possible fleet resizing during the local search phase.

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### Algorithm 3: GenerateInitialSolution

---

```

1 Procedure GenerateInitialSolution( $v$ )
2   Initialize the CL
3   Let  $s = \{s^1, \dots, s^v\}$  be the set composed by  $v$  empty routes
4   for  $v' \leftarrow 1$  to  $v$  do
5      $s^{v'} \leftarrow k \in \text{CL}$  selected at random
6     Update CL //  $\text{CL} \leftarrow \text{CL} - \{k\}$ 
7   end
8   InsertionCriterion  $\leftarrow$  MCFIC or NFIC // (chosen at random)
9   InsertionStrategy  $\leftarrow$  SIS or PIS // (chosen at random)
10  if (InsertionStrategy = SIS) then
11     $s \leftarrow$  SequentialInsertion( $v$ , CL, InsertionCriterion)
12  else
13     $s \leftarrow$  ParallelInsertion( $v$ , CL, InsertionCriterion)
14  end
15  if ( $s$  is infeasible) then
16    Go to line 2
17  end
18  Add an empty route associated to each type of vehicle in  $s$  // Only for FSM
19  return  $s$ 
20 end

```

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### 5.1.1 Insertion Criteria

The cost of inserting an unrouted customer  $k \in \text{CL}$  in a given route using the MCFIC is expressed in Eq. 1, where function  $g(k)$  represents the insertion cost. The value of  $g(k)$  is computed by the sum of two terms. The first computes the insertion cost of the client  $k$  between every pair of adjacent customers  $i$  and  $j$  while the second corresponds to a surcharge used to avoid late insertions of clients located far away from the depot. The cost back and forth from the depot is weighted by a factor  $\gamma$ .

$$g(k) = (c_{ik}^u + c_{kj}^u - c_{ij}^u) - \gamma(c_{0k}^u + c_{k0}^u) \quad (1)$$

The NFIC directly computes the distance between a customer  $k \in \text{CL}$  and every customer  $i$  that has been already included into the partial solution, that is  $g(k) = c_{ik}^u$  (Eq. 2). It is assumed that the insertion of  $k$  is always performed after  $i$ .

$$g(k) = c_{ik}^u \quad (2)$$

In both criteria, the insertion associated with the least-cost is done, i.e.  $\min\{g(k)|k \in \text{CL}\}$ .

### 5.1.2 Insertion Strategies

In the SIS, only a single route is considered for insertion at each iteration. The pseudocode of the SIS is presented in Alg. 4. If the insertion criterion corresponds to the MCFIC, a value of  $\gamma$  is chosen at random within the discrete interval  $\{0.00, 0.05, 0.10, \dots, 1.65, 1.70\}$  (line 2). This interval was defined in Subramanian et al (2010) after some preliminary experiments. While the CL is not empty and there is at least one customer  $k \in \text{CL}$  that can be added to the current partial solution without violating any constraint (lines 6-17), each route is filled with a customer selected using the corresponding insertion criterion (lines 7-15). If the fleet is unlimited and the solution  $s$  is still incomplete, a new vehicle, chosen at random from the available types, is added and the procedure restarts from line 6 (lines 18-22).

PIS differs from SIS because all routes are considered while evaluating the least-cost insertion. Alg. 5 illustrates the pseudocode of the PIS. While the CL is not empty and there is at least one customer  $k \in \text{CL}$  that can be included in  $s$  (lines 5-12), the insertions are evaluated using the selected insertion criterion and the customer associated with the least-cost insertion is then included in the correspondent route  $v$  (lines 6-10). The remainder of the code operates just as the SIS.

## 5.2 Local Search

The local search is performed by a VND (Mladenovic and Hansen, 1997) procedure, which utilizes a random neighborhood ordering (RVND). Let  $N = \{N^{(1)}, \dots, N^{(r)}\}$  be the set of neighborhood structures. Whenever a given neighborhood of the set  $N$  fails to improve the incumbent solution, the RVND randomly chooses another neighborhood from the same set to continue the search throughout the solution space. In this case,  $N$  is composed only by inter-route neighborhood structures.

The pseudocode of the RVND procedure is presented in Alg. 6. Firstly, a Neighborhood List (NL) containing a predefined number of inter-route moves is initialized (line 3). In the main loop (lines 4-16), a neighborhood  $N^{(\eta)} \in \text{NL}$  is chosen at random (line 5) and then the best admissible move is determined (line 6). In case of improvement, an intra-route local search is performed, the fleet is updated and the NL is populated with all the neighborhoods (lines 7-12). Otherwise,  $N^{(\eta)}$  is removed from the NL (line 13). It is important to mention that the fleet is only updated for

**Algorithm 4: SequentialInsertion**


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```

1 Procedure SequentialInsertion( $v$ ,  $CL$ , InsertionCriterion)
2   if (InsertionCriterion = MCFIC) then
3      $\gamma \leftarrow$  random value within a given interval
4   end
5    $v_0 \leftarrow 1$ 
6   while ( $CL \neq \emptyset$  and at least one customer  $k \in CL$  can be added to  $s$ ) do
7     for  $v' \leftarrow v_0 \dots v$  and  $CL \neq \emptyset$  do
8       if (at least one customer  $k \in CL$  can be inserted into the vehicle  $v'$ ) then
9         Evaluate the value of each cost  $g(k)$  for  $k \in CL$ 
10         $g^{\min} \leftarrow \min\{g(k) | k \in CL\}$ 
11         $k' \leftarrow$  customer  $k$  associated to  $g^{\min}$ 
12         $s^{v'} \leftarrow s^{v'} \cup \{k'\}$ 
13        Update  $CL$ 
14      end
15    end
16    Update  $v_0$ 
17  end
18  if ( $CL > 0$  and the vehicle fleet is unlimited) then
19    Add a new vehicle chosen at random //  $v \leftarrow v + 1$ 
20    Update  $v_0$  //  $v_0 \leftarrow v$ 
21    Go to line 6
22  end
23  return  $s$ 
24 end

```

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**Algorithm 5: ParallelInsertion**


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```

1 Procedure ParallelInsertion( $v$ ,  $CL$ , InsertionCriterion)
2   if (InsertionCriterion = MCFIC) then
3      $\gamma \leftarrow$  random value within a given interval
4   end
5   while ( $CL \neq \emptyset$  and at least one customer  $k \in CL$  can be added to  $s$ ) do
6     Evaluate the value of each cost  $g(k)$  for  $k \in CL$ 
7      $g^{\min} \leftarrow \min\{g(k) | k \in CL\}$ 
8      $k' \leftarrow$  customer  $k$  associated to  $g^{\min}$ 
9      $v' \leftarrow$  route associated to  $g^{\min}$ 
10     $s^{v'} \leftarrow s^{v'} \cup \{k'\}$ 
11    Update  $CL$ 
12  end
13  if ( $CL > 0$  and the vehicle fleet is unlimited) then
14    Add a new vehicle chosen at random;  $\{v \leftarrow v + 1\}$ 
15    Go to line 5
16  end
17  return  $s$ 
18 end

```

---

the FSM. This update assures that there is exactly one empty vehicle of each type. A set of Auxiliary Data Structures (ADSs) (see Subsection 5.2.1) is updated at the beginning of the process (line 2) and whenever a neighborhood search is performed (line 15).

**Algorithm 6: RVND**


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```

1 Procedure RVND(s)
2   Update ADSs
3   Initialize the Inter-Route Neighborhood List (NL)
4   while (NL  $\neq$  0) do
5     Choose a neighborhood  $N^{(\eta)} \in$  NL at random
6     Find the best neighbor  $s'$  of  $s \in N^{(\eta)}$ 
7     if ( $f(s') < f(s)$ ) then
8        $s \leftarrow s'$ 
9        $s \leftarrow$  IntraRouteSearch(s)
10      Update Fleet // Only for FSM
11      Update NL
12    else
13      Remove  $N^{(\eta)}$  from the NL
14    end
15  Update ADSs
16 end
17 return s
18 end

```

---

Let  $N'$  be a set composed by  $r'$  intra-route neighborhood structures. Alg. 7 describes how the intra-route search procedure was implemented. At first, a neighborhood list  $NL'$  is initialized with all the intra-route neighborhood structures (line 2). Next, while  $NL'$  is not empty a neighborhood  $N^{(\eta)} \in NL'$  is randomly selected and a local search is exhaustively performed until no more improvements are found (lines 3-11).

**Algorithm 7: IntraRouteSearch**


---

```

1 Procedure IntraRouteSearch(s)
2   Initialize the Intra-Route Neighborhood List (NL')
3   while (NL'  $\neq$  0) do
4     Choose a neighborhood  $N^{(\eta)} \in$  NL' at random
5     Find the best neighbor  $s'$  of  $s \in N^{(\eta)}$ 
6     if ( $f(s') < f(s)$ ) then
7        $s \leftarrow s'$ 
8     else
9       Remove  $N^{(\eta)}$  from the NL'
10    end
11  end
12  return s
13 end

```

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*5.2.1 Auxiliary Data Structures (ADSs)*

In order to enhance the neighborhood search, some ADSs were adopted. The following arrays store useful information regarding each route.

- **SumDemand**[ ] – sum of the demands. For example, if  $\text{SumDemand}[2] = 100$ , it means that the sum of the demands of all customers of route 2 corresponds to 100.
- **MinDemand**[ ] – minimum demand. For example, if  $\text{MinDemand}[3] = 5$ , it means that 5 is the least demand among all customers of route 3.
- **MaxDemand**[ ] – maximum demand.
- **MinPairDemand**[ ] – minimum sum of demands of two adjacent customers. For example, if  $\text{MinPairDemand}[1] = 10$ , it means that the least sum of the demands of two adjacent customers of route 1 corresponds to 10.
- **MaxPairDemand**[ ] – maximum sum of demands of two adjacent customers.
- **CumulativeDemand**[ ][ ] – cumulative load at each point of the route. For example, if  $\text{CumulativeDemand}[2][4] = 78$ , it means that the sum of the demands of the first four customers of route 2 corresponds to 78.

To update the information of the ADSs one should take into account only the routes that were modified. Let  $\bar{n}$  be the total number of customers in the modified routes. The ADSs updating is as follows. For each modified route, a verification is performed along the whole tour to update the corresponding values of the ADSs. Hence, the computational complexity is of the order of  $\mathcal{O}(\bar{n})$ .

### 5.2.2 Inter-Route Neighborhood structures

Seven VRP neighborhood structures involving inter-route moves were employed. Five of them are based on the  $\lambda$ -interchanges scheme Osman (1993), which consists of exchanging up to  $\lambda$  customers between two routes. To limit the number of possibilities we have considered  $\lambda = 2$ . Another one is based on the Cross-exchange operator Taillard et al (1997), which consists of exchanging two segments of different routes. Finally, a new neighborhood structure called, *K-Shift*, which consists of transferring a set of consecutive customers from a route to another one, was implemented.

The solution spaces of the seven neighborhoods are explored exhaustively, that is, all possible combinations are examined, and the best improvement strategy is considered. The computational complexity of each one of these moves is  $\mathcal{O}(n^2)$ .

Only feasible moves are admitted, i.e., those that do not violate the maximum load constraints. Therefore, every time an improvement occurs, the algorithm checks whether this new solution is feasible or not. This checking is trivial and it can be performed in a constant time by just verifying if the sum of the customers demands of a given route does not exceed the vehicle's capacity when the same is leaving (or arriving on) the depot.

The inter-route neighborhood structures are described next. In addition, the particular conditions of each neighborhood that must be satisfied to avoid evaluating some infeasible moves are presented as well.

**Shift(1,0)** –  $N^{(1)}$  – A customer  $k$  is transferred from a route  $r_1$  to a route  $r_2$ . If  $\text{MinDemand}[r_1] + \text{SumDemand}[r_2] > Q$  it means that transferring any customer from  $r_1$  to  $r_2$  implies an infeasible solution. This fact is easy to verify because if even the customer with the least demand cannot be transferred to the other route, it is clear that the remaining customers also cannot. In addition, if  $d_k + \text{SumDemand}[r_2] > Q$

then there is no point in evaluating the transfer of  $k \in r_1$  to any position in  $r_2$ , since the vehicle load will always be violated. Thus, a verification should be performed to avoid the evaluation of these infeasible moves.

**Swap(1,1)** –  $N^{(2)}$  – Permutation between a customer  $k$  from a route  $r_1$  and a customer  $l$ , from a route  $r_2$ . To avoid evaluating infeasible moves one should verify if  $\text{MinDemand}[r_1] - \text{MaxDemand}[r_2] + \text{SumDemand}[r_2] \leq Q$  and  $d_k + \text{SumDemand}[r_2] - \text{MaxDemand}[r_2] \leq Q$ .

**Shift(2,0)** –  $N^{(3)}$  – Two adjacent customers,  $k$  and  $l$ , are transferred from a route  $r_1$  to a route  $r_2$ . This move can also be seen as an arc transfer. In this case, the move examines the transfer of both arcs  $(k,l)$  and  $(l,k)$ . Before starting to evaluate the customers transfer from  $r_1$  to  $r_2$  one should verify if following conditions are met:  $\text{MinPairDemand}[r_1] + \text{SumDemand}[r_2] \leq Q$ .

**Swap(2,1)** –  $N^{(4)}$  – Permutation of two adjacent customers,  $k$  and  $l$ , from a route  $r_1$  by a customer  $k'$  from a route  $r_2$ . As in Shift(1,0), both arcs  $(k,l)$  and  $(l,k)$  are considered. The evaluation of some infeasible moves are avoided by checking if  $\text{MinPairDemand}[r_1] - \text{MaxDemand}[r_2] + \text{SumDemand}[r_2] \leq Q$ .

**Swap(2,2)** –  $N^{(5)}$  – Permutation between two adjacent customers,  $k$  and  $l$ , from a route  $r_1$  by another two adjacent customers  $k'$  and  $l'$ , belonging to a route  $r_2$ . All the four possible combinations of exchanging arcs  $(k,l)$  and  $(k',l')$  are considered. To avoid evaluating some infeasible moves the following conditions must be satisfied:  $\text{MinPairDemand}[r_1] - \text{MaxDemand}[r_2] + \text{SumDemand}[r_2] \leq Q$ .

**Cross** –  $N^{(6)}$  – The arc between adjacent clients  $k$  and  $l$ , belonging to a route  $r_1$ , and the one between  $k'$  and  $l'$ , from a route  $r_2$ , are both removed. Next, an arc is inserted connecting  $k$  and  $l'$  and another is inserted linking  $k'$  and  $l$ . The vehicle loads of both routes are computed in constant time using the ADSs SumDemand and CumulativeDemand.

**K-Shift** –  $N^{(7)}$  – A subset of consecutive customers  $K$  is transferred from a route  $r_1$  to the end of a route  $r_2$ . In this case, it is assumed that the dependent and fixed costs of  $r_2$  is smaller than those of  $r_1$ . It should be pointed out that the move is also applied if  $r_2$  is an empty route.

### 5.2.3 Intra-Route Neighborhood structures

Five well-known intra-route neighborhood structures were adopted. The set  $N'$  is composed by Or-opt (Or, 1976), 2-opt and exchange moves. Since we evaluate all possible moves, the computational complexity of these local search procedures is  $\mathcal{O}(\bar{n}^2)$ . Their description is as follows.

**Reinsertion** – One, customer is removed and inserted in another position of the route.

**Or-opt2** – Two adjacent customers are removed and inserted in another position of the route.

**Or-opt3** – Three adjacent customers are removed and inserted in another position of the route.

**2-opt** – Two nonadjacent arcs are deleted and another two are added in such a way that a new route is generated.

**Exchange** – Permutation between two customers.

### 5.3 Perturbation Mechanisms

A set  $P$  of three perturbation mechanisms were adopted. Whenever the `Perturb()` function is called, one of the moves described below is randomly selected. It is worth emphasizing that these perturbations are different from those employed by Subramanian et al (2010).

**Multiple-Swap(1,1) –  $P^{(1)}$**  – Multiple random Swap(1,1) moves are performed in sequence. After some preliminary experiments, the number of successive moves was empirically set to be chosen from the interval  $\{0.5v, 0.6v, \dots, 1.4v, 1.5v\}$ .

**Multiple-Shift(1,1) –  $P^{(2)}$**  – Multiple Shift(1,1) moves are performed in sequence randomly. The Shift(1,1) consists in transferring a customer  $k$  from a route  $r_1$  to a route  $r_2$ , whereas a customer  $l$  from  $r_2$  is transferred to  $r_1$ . In this case, the number of moves is randomly selected from the same interval of  $P^{(1)}$ . This perturbation is more stronger than the previous one since it admits a larger number of moves.

**Split –  $P^{(3)}$**  – A route  $r$  is divided into smaller routes. Let  $M' = \{2, \dots, m\}$  be a subset of  $M$  composed by all vehicle types, except the one with the smallest capacity. Firstly, a route  $r \in s$  (let  $s = s'$ ) associated with a vehicle  $u \in M'$  is selected at random. Next, while  $r$  is not empty, the remaining customers of  $r$  are sequentially transferred to a new randomly selected route  $r' \notin s$  associated with a vehicle  $u' \in \{1, \dots, u-1\}$  in such a way that the capacity of  $u'$  is not violated. The new generated routes are added to the solution  $s$  while the route  $r$  is removed from  $s$ . The procedure described is repeated multiple times where the number of repetitions is chosen at random from the interval  $\{1, 2, \dots, v\}$ . This perturbation was applied only for the FSM, since it does not make sense for the HVRP.

Every time a perturbation move is applied, we verify if the perturbed solution is feasible or not. If it is feasible then the perturbation phase is terminated. Otherwise, the same perturbation move is reapplied until a feasible move is performed.

## 6 Computational Results

The algorithm ILS-RVND was coded in C++ (g++ 4.4.3) and executed in an Intel® Core™ i7 Processor 2.93GHz with 8 GB of RAM memory running Ubuntu Linux 10.04 (kernel version 2.6.32-22). The developed heuristic we tested in well-known instances, namely those proposed by Golden et al (1984) and by Taillard (1999). The latter introduced dependent costs and established a limit for the number of vehicles of each type. Table 1 describes the characteristics of these instances. The values of *MaxIter* and *MaxIterILS* were calibrated as described in Subsection 6.1. The impact of the perturbation mechanisms is shown in Subsection 6.2. For each instance, the proposed algorithm was executed 30 times and the results are presented in Subsection 6.4. New improved solutions are reported in Appendix A.

In Subsection 6.4 we also compare the results of our solution approach with the best known algorithms presented in the literature, namely those of Taillard (1999), Tarantilis et al (2004), Choi and Tcha (2007), Li et al (2007) Imran et al (2009), Liu et al (2009), Brandão (2009) and Prins (2009b). These algorithms were respectively executed in a Sun Sparc workstation 50 MHz, Pentium II 400 MHz, Pentium IV 2.6

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GHz, Athlon 1.0 GHz, Pentium IV 3.0 GHz, Pentium M 1.4 GHz and Pentium IV M 1.8 GHz. Since they were executed in different computers, a comparison in terms of CPU time becomes quite difficult. Nevertheless, in an attempt of performing an approximate comparison, we made use of the list of computers available in Dongarra (2010), where the author reports the speed, in Millions of Floating-Point Operations per Second (Mflop/s), of various computers. As for the models that are not in the list, we adopted the speed of those with similar configuration. Therefore, we assume that the speed of the referred computers are: 27 Mflop/s – Sun Sparc workstation 50 MHz; 262 Mflop/s – Pentium II 400 MHz; 2266 Mflop/s – Pentium IV 2.6 GHz; 1168 Mflop/s – Athlon 1.0 GHz; 1477 Mflop/s – Pentium IV M 1.8 GHz; 3181 Mflop/s – Pentium IV 3.0 GHz; 1216 Mflop/s – Pentium M 1.4 GHz; 1564 Mflop/s – Pentium IV M 1.8 GHz. In the case of our Intel i7 2.93 GHz, we ran the program utilized by Dongarra (2010) and we obtained a speed of 5839 Mflop/s.

In the tables presented hereafter, **Instance No.** denotes the number of the test-problem,  $n$  is the number of customers, **BKS** represents the best known solution reported in the literature, **Best Sol.** and **Time** indicate, respectively, the solution and the original computational time associated to the corresponding work, **Gap** denotes either the gap between the best solution found by a given algorithm and the BKS, either the mean of the gaps between the best solutions and the BKS, **Avg. Gap** corresponds to the gap between the average solution found by a given algorithm and the BKS. **Scaled time** indicates the scaled time of each computer, with respect to our 2.93 GHz. The best solutions are highlighted in boldface and the solutions improved by the ILS-RVND algorithm are underlined.



## 6.1 Parameter Tuning

A set of instances with varying sizes was selected for tuning the main parameters of the ILS-RVND heuristic, that is,  $MaxIter$  and  $MaxIterILS$ . It has been observed that the last one varies with the size of the instances, more precisely, with the number of customers and vehicles. It has been empirically observed that the suitable values of  $MaxIterILS$  depends on the size of the instances, more precisely, on the number of customers and vehicles. For the sake of simplicity, we have decided to use an intuitive and straightforward linear expression for computing the value of  $MaxIterILS$  according to  $n$  and  $v$ , as shown in Eq. 3.

$$MaxIterILS = n + \beta \times v \quad (3)$$

The parameter  $\beta$  in Eq. 3 corresponds to a non-negative integer constant that indicates the level of influence of the number of vehicles  $v$  in the value of  $MaxIterILS$ .

Four instances with varying number of customers (20-100) and vehicles were chosen as a sample for tuning the values of the parameters. For each of these test-problems we executed ILS-RVND 10 times in all of their respective HFVRP variants. Three values of  $MaxIter$  were tested, specifically 350, 400 and 450. For each of these, ten values of  $\beta$  were evaluated.

In order to select an attractive parameters configuration we took into account the quality of the solutions obtained in each variant, measured by the average gap between the solutions obtained using a given  $\beta$  value and the respective best solution found in the literature, and the computational effort, measured by the average CPU time of the complete execution of the algorithm. The gap was calculated using Eq. 4.

$$gap = \frac{ILS-RVND\_solution - literature\_solution}{literature\_solution} \times 100 \quad (4)$$

Table 2 contains the results of the average gap and the average CPU time for the tests involving  $MaxIter = 350$ , while those obtained for  $MaxIter = 400$  and  $MaxIter = 450$  are presented in Tables 3 and 4, respectively.

**Table 2** Average gap and time in seconds between the solutions obtained by each  $\beta$  with  $MaxIter = 350$

$\beta$	Instance								Average	
	04		13		17		20		Gap	Time
	Gap	Time	Gap	Time	Gap	Time	Gap	Time		
1	1.68%	1.55	0.63%	13.26	0.60%	27.20	0.74%	50.84	0.91%	23.21
2	0.98%	1.87	0.48%	15.98	0.59%	29.88	0.72%	55.39	0.69%	25.78
3	0.49%	2.21	0.40%	18.55	0.57%	32.58	0.74%	60.17	0.55%	28.38
4	0.72%	2.46	0.37%	20.98	0.49%	35.18	0.63%	64.48	0.55%	30.78
5	0.00%	2.82	0.43%	23.65	0.49%	37.65	0.62%	69.13	0.38%	33.31
6	0.00%	3.09	0.33%	25.84	0.47%	40.18	0.62%	73.82	0.36%	35.73
7	0.24%	3.36	0.32%	28.20	0.45%	42.57	0.59%	77.79	0.40%	37.98
8	0.00%	3.65	0.29%	30.58	0.45%	45.58	0.61%	82.23	0.34%	40.51
9	0.00%	3.91	0.30%	32.85	0.43%	47.44	0.51%	87.05	0.31%	42.81
10	0.00%	4.23	0.28%	34.89	0.40%	50.11	0.58%	90.61	0.31%	44.96

**Table 3** Average gap and time in seconds between the solutions obtained by each  $\beta$  with  $MaxIter = 400$ 

$\beta$	Instance								Average	
	04		13		17		20		Gap	Time
	Gap	Time	Gap	Time	Gap	Time	Gap	Time		
1	2.13%	1.68	0.51%	14.43	0.64%	29.61	0.78%	55.19	1.02%	25.23
2	0.50%	2.03	0.49%	17.49	0.60%	32.56	0.67%	60.32	0.56%	28.10
3	0.28%	2.40	0.42%	20.32	0.56%	35.44	0.65%	65.43	0.48%	30.90
4	0.48%	2.71	0.33%	22.87	0.54%	38.12	0.64%	70.29	0.50%	33.49
5	0.01%	3.02	0.30%	25.42	0.51%	41.00	0.58%	75.01	0.35%	36.11
6	0.00%	3.38	0.31%	28.18	0.46%	43.83	0.58%	79.81	0.34%	38.80
7	0.23%	3.67	0.28%	30.71	0.47%	46.38	0.53%	84.48	0.38%	41.31
8	0.23%	3.94	0.26%	33.33	0.48%	49.23	0.52%	89.44	0.37%	43.98
9	0.00%	4.30	0.29%	35.94	0.48%	51.73	0.49%	94.27	0.32%	46.56
10	0.00%	4.61	0.31%	38.26	0.48%	54.22	0.51%	98.00	0.33%	48.77

**Table 4** Average gap and time in seconds between the solutions obtained by each  $\beta$  with  $MaxIter = 450$ 

$\beta$	Instance								Average	
	04		13		17		20		Gap	Time
	Gap	Time	Gap	Time	Gap	Time	Gap	Time		
1	1.69%	1.95	0.50%	16.51	0.55%	33.90	0.66%	62.94	0.85%	28.82
2	0.71%	2.32	0.46%	19.79	0.60%	37.05	0.62%	68.53	0.60%	31.92
3	0.50%	2.73	0.42%	22.98	0.53%	40.58	0.61%	74.60	0.51%	35.22
4	0.01%	3.06	0.35%	26.28	0.49%	43.85	0.58%	80.38	0.36%	38.39
5	0.01%	3.47	0.36%	29.08	0.50%	47.02	0.58%	86.19	0.36%	41.44
6	0.01%	3.86	0.29%	32.13	0.45%	49.82	0.61%	91.57	0.34%	44.34
7	0.01%	4.20	0.30%	35.05	0.44%	53.18	0.53%	97.23	0.32%	47.42
8	0.00%	4.54	0.29%	37.98	0.45%	56.10	0.54%	102.13	0.32%	50.19
9	0.00%	4.91	0.27%	40.44	0.39%	59.05	0.53%	107.34	0.30%	52.93
10	0.00%	5.31	0.25%	43.56	0.37%	62.07	0.45%	112.42	0.27%	55.84

From Tables 2-4 we can observe that the quality of the solutions and the computational time tend to increase with the value of  $\beta$  and  $MaxIter$ . This behavior was obviously expected since more trials are given to the algorithm when the values of these two parameters increase. However, we can also verify that the the variation of the average gap tends to be quite small from a given value of  $\beta$ . For example, in the case of  $MaxIter = 350$  and  $MaxIter = 400$ , it is possible to notice that there are no significant modifications in the the average gaps from  $\beta = 5$ , wheres the same happens from  $\beta = 4$ , in the case of  $MaxIter = 450$ . Although these three configurations had produced similar results, we decided to choose  $MaxIter = 400$  and  $\beta = 5$  because it was the one that obtained the smaller average gap when compared to the other two.

## 6.2 Impact of the Perturbation Mechanisms

In this subsection we are interested in evaluating the impact of the set of perturbation mechanisms in the HVRP and FSM. We ran the ILS-RVND algorithm with each perturbation separately and also with more than one perturbation included and the results for HVRP and FSM are presented in Tables 5 and 6, respectively. All instances were considered and we executed the ILS-RVND 30 times for each of the ive HFVRP vari-

ants using  $\beta = 5$  and  $MaxIter = 400$ . It is noteworthy to remember that perturbation  $Split(P^{(3)})$  is only applied for the FSM.

**Table 5** Impact of perturbation mechanism on HVRP instances

Inst. No.	$n$	$P^{(1)}$			$P^{(2)}$			$P^{(1)} + P^{(2)}$		
		Gap	Avg. Gap	Avg. Time	Gap	Avg. Gap	Avg. Time	Gap	Avg. Gap	Avg. Time
13	50	0.00%	0.17%	19.55	0.00%	0.06%	21.50	0.00%	0.09%	19.16
14	50	0.00%	0.01%	12.81	0.00%	0.01%	13.09	0.00%	0.01%	11.24
15	50	0.00%	0.00%	14.63	0.00%	0.00%	14.34	0.00%	0.00%	12.52
16	50	0.00%	0.55%	14.04	0.00%	0.43%	13.72	0.00%	0.20%	12.26
17	75	0.05%	0.31%	34.52	0.07%	0.26%	34.19	0.00%	0.31%	29.75
18	75	0.00%	0.55%	40.26	0.00%	0.34%	42.72	0.00%	0.45%	37.36
19	100	0.11%	0.12%	89.96	0.11%	0.11%	83.86	0.11%	0.12%	70.69
20	100	0.38%	0.72%	80.75	0.32%	0.67%	75.71	-0.19%	0.66%	66.11
Average		0.07%	0.30%	38.32	0.06%	0.24%	37.39	-0.01%	0.23%	32.39
Best found or improved		12/16			12/16			15/16		

From Table 5 it can be seen that the three configurations had a similar performance in terms of average solutions, but the version that includes  $P^{(1)} + P^{(2)}$  outperformed the other two both in terms of best solutions and computational time. According to Table 6 it can be verified that ILS-RVND clearly had a better performance, in terms of solution quality, when all perturbations were included, as can be seen by the values of the average gaps and the number of best solutions found.

### 6.3 Deterministic Ordering versus Random Ordering of the Variable Neighborhood Descent

In order to illustrate the impact of the RVND in the performance of the proposed solution approach we ran two versions of our algorithm 30 times in all instances of each of the five HFVRP variants. The first version employs the random neighborhood ordering (RVND) in the local search phase and it makes use of the values of  $MaxIter$  and  $MaxIterILS$  specified in Subsection 6.1. The second one employs the traditional VND as a local search procedure with the following deterministic order  $N^{(1)}, N^{(2)} \dots, N^{(7)}$ . However, a different stopping criterion was adopted with a view of performing a fair comparison between both versions. The value of  $MaxIterILS$  is the same, but instead of  $MaxIter$ , we took the average time obtained for each instance when running the first version and set as an execution time limit for the second version.



Table 7 presents the results obtained using the deterministic neighborhood ordering (ILS-VND) and those found using the random neighborhood ordering (ILS-RVND). It can be observed that the ILS-RVND clearly outperformed the ILS-VND in all variants. A possible explanation to this fact is that ILS-VND converges prematurely to poor local optima and therefore it tends to generate, on average, low quality solutions when compared to ILS-RVND, which in turn is less-likely to produce solutions that get easily trapped in local optima.

**Table 7** Deterministic Ordering versus Random Ordering of the Variable Neighborhood Descent

Variant	ILS-VND			ILS-RVND		
	Gap	Avg. Gap	Best found or improved	Gap	Avg. Gap	Best found or improved
HVRPFD	0.29%	0.67%	2/8	-0.05%	0.24%	8/8
HVRPD	0.59%	1.23%	2/8	0.03%	0.22%	7/8
FSMFD	0.07%	0.19%	6/12	0.00%	0.09%	11/12
FSMF	0.29%	0.43%	6/12	0.01%	0.23%	9/12
FSMD	0.43%	0.92%	6/12	0.00%	0.17%	11/12
Average	0.33%	0.69%		0.00%	0.19%	

## 6.4 Comparison with the literature

In this subsection we compare the results obtained by ILS-RVND with those found in the literature. It is important to mention that some authors, like Tarantilis et al (2004), Li et al (2007) and Brandão (2009), reported the results of single-runs. Moreover, others, like Prins (2009b), reported the average gap between the average solutions and the previous BKS, but not the average solutions themselves.

### 6.4.1 HVRPFD

To our knowledge the HVRPFD was only examined by Baldacci and Mingozzi (2009). From Table 8 it can be verified that the ILS-RVND found all proven optimal solutions and improved the results of the two instances in which the optimal solution was not proved by Baldacci and Mingozzi (2009).

### 6.4.2 HVRPD

Tables 9 and 10 present a comparison between the results found by ILS-RVND and the best heuristics proposed in the literature, namely those of Taillard (1999), Tarantilis et al (2004) and Prins (2009b). Although ILS-RVND was capable of finding 7 of the 8 BKSs in a competitive computational time, the average gap show that our algorithm is not as effective for the HFVRPD as those developed by Li et al (2007) and Prins (2009b) that managed to obtain better gaps in a single-run.

### 6.4.3 FSMFD

In Tables 11 and 12 a comparison is performed between the results found by ILS-RVND and the best heuristics available in the literature, particularly the ones of Choi and Tcha (2007), Prins (2009b) and Imran et al (2009). The ILS-RVND failed to equal the results of two instances but it was capable to improve the results of another one. When individually comparing the ILS-RVND with each one of these algorithms, one can verify that the ILS-RVND produced, on average, highly competitive solutions. However, in terms of computational time, our algorithm is still slower than the SMA-U1 of Prins (2009b).

### 6.4.4 FSMF

Tables 13 and 14 illustrate the results obtained by the ILS-RVND for the FSMF. These results are compared with those of Choi and Tcha (2007), Brandão (2009), Prins (2009b), Imran et al (2009), Liu et al (2009). It can be seen that the proposed algorithm found one new best solution, equaled the results of 8 instances, but it failed to obtain the best known solutions in another 2. The average results are quite competitive in terms of solution quality when compared to the other approaches. In addition, except for the algorithm of Prins (2009b), ILS-RVND outperformed all others in terms of computational time.

### 6.4.5 FSMD

The best results obtained in the literature for the FSMD using heuristic approaches were reported by Choi and Tcha (2007), Brandão (2009), Prins (2009b), Imran et al (2009) and Liu et al (2009). These results along with those found by ILS-RVND are presented in Tables 15 and 16. In this variant the optimal solutions were determined by Baldacci and Mingozzi (2009) for all instances. From Table 15 it can be observed that, except for one instance, ILS-RVND was capable of finding all optimal solutions. Even though ILS-RVND performed, on average, slower than the algorithms of Choi and Tcha (2007) and Prins (2009b), the results obtained are quite satisfactory, specially in terms of solution quality.

**Table 8** Results for HVRPFD instances

Inst. No.	$n$	BKS	ILS-RVND					
			Best Sol.	Time	Gap	Sol. <sup>b</sup>	Time <sup>b</sup>	Gap <sup>b</sup>
13	50	3185.09 <sup>a</sup>	<b>3185.09</b>	18.87	0.00%	3189.17	19.04	0.13%
14	50	10107.53 <sup>a</sup>	<b>10107.53</b>	10.58	0.00%	10107.94	11.28	0.00%
15	50	3065.29 <sup>a</sup>	<b>3065.29</b>	11.78	0.00%	3065.34	12.48	0.00%
16	50	3265.41 <sup>a</sup>	<b>3265.41</b>	11.87	0.00%	3278.06	12.22	0.39%
17	75	2076.96 <sup>a</sup>	<b>2076.96</b>	29.44	0.00%	2083.19	29.59	0.30%
18	75	3743.58 <sup>a</sup>	<b>3743.58</b>	35.75	0.00%	3758.84	36.38	0.41%
19	100	10423.32	<b>10420.30</b>	70.55	-0.03%	10421.39	73.66	-0.02%
20	100	4806.69	<b>4788.49</b>	66.88	-0.38%	4839.53	68.46	0.68%
Avg. time/Avg. gap BKS				31.97	-0.05%		32.89	0.24%

<sup>a</sup>: Optimality proved by Baldacci and Mingozzi (2009); <sup>b</sup> : Average of 30 runs

**Table 9** Results for HVRPD instances

Inst. No.	$n$	BKS	HCG Taillard		BATA Tarantilis et al.		HRTR Li et al.		SMA-D2 Prins		ILS-RVND					
			Best Sol.	Time <sup>b</sup>	Best Sol.	Time	Best Sol.	Time	Best Sol.	Time	Best Sol.	Time	Best Sol.	Time	Gap <sup>c</sup>	
13	50	1517.84 <sup>a</sup>	1518.05	473	1519.96	843	<b>1517.84</b>	358	<b>1517.84</b>	33.2	<b>1517.84</b>	18.46	0.00	1518.58	19.29	0.00
14	50	607.53 <sup>a</sup>	615.64	575	611.39	387	<b>607.53</b>	141	<b>607.53</b>	37.6	<b>607.53</b>	10.74	0.00	607.64	11.20	0.00
15	50	1015.29 <sup>a</sup>	1016.86	335	<b>1015.29</b>	368	<b>1015.29</b>	166	<b>1015.29</b>	6.6	<b>1015.29</b>	11.99	0.00	1015.33	12.56	0.00
16	50	1144.94 <sup>a</sup>	1154.05	350	1145.52	341	<b>1144.94</b>	188	<b>1144.94</b>	7.5	<b>1144.94</b>	11.57	0.00	1145.04	12.29	0.00
17	75	1061.96 <sup>a</sup>	1071.79	2245	1071.01	363	<b>1061.96</b>	216	1065.85	81.5	<b>1061.96</b>	29.80	0.00	1065.27	29.92	0.00
18	75	1823.58 <sup>a</sup>	1870.16	2876	1846.35	971	<b>1823.58</b>	366	<b>1823.58</b>	190.6	<b>1823.58</b>	36.09	0.00	1832.52	38.34	0.00
19	100	1117.51	<b>1117.51</b>	5833	1123.83	428	1120.34	404	1120.34	177.8	1120.34	63.14	0.00	1120.34	67.72	0.00
20	100	1534.17 <sup>a</sup>	1559.77	3402	1556.35	1156	<b>1534.17</b>	447	<b>1534.17</b>	223.3	<b>1534.17</b>	61.94	0.00	1544.08	63.77	0.01

<sup>a</sup>: Optimality proved by Baldacci and Mingozzi (2009); <sup>b</sup>: Average time of 5 runs; <sup>c</sup>: Average of 30 runs

Taillard (1999) CPU: Sun Sparc 10 workstation with 50MHz; Tarantilis et al (2004) CPU: Pentium II 400MHz

Li et al (2007) CPU: AMD Athlon 1GHz; Prins (2009b) CPU: Pentium IV M 1.8GHz.

**Table 10** Summary of results for HVRPD

Method	Best Run		Average <sup>†</sup>	
	Gap	Scaled Time	BKS Found	BKS Improved
HCG (Taillard, 1999)	0.93%	–	1	0
BATA (Tarantilis et al, 2004)	0.62%	27.24	1	0
HRTR (Li et al, 2007)	0.03%	57.16	7	0
SMA-D2 (Prins, 2009b)	0.08%	25.38	6	0
ILS-RVND	0.03%	30.47	7	0
				0
				0.22%
				31.89
				9.30

<sup>†</sup>: Average of 5 runs for Taillard (1999) and of 30 runs for ILS-RVND

**Table 11** Results for FSMFD instances

Inst. No.	$n$	BKS	CG		SMA-UI		VNSI		ILS-RVND					
			Choi and Tcha		Prins		Imran et al		Best Sol.	Time	Gap	Sol. <sup>b</sup>	Time <sup>b</sup>	Gap <sup>b</sup>
			Best Sol.	Time	Best Sol.	Time	Best Sol.	Time						
3	20	1144.22 <sup>a</sup>	<b>1144.22</b>	0.25	<b>1144.22</b>	0.01	<b>1144.22</b>	19	<b>1144.22</b>	3.87	0.00%	1144.22	4.05	0.00%
4	20	6437.33 <sup>a</sup>	<b>6437.33</b>	0.45	<b>6437.33</b>	0.07	<b>6437.33</b>	17	<b>6437.33</b>	2.77	0.00%	6437.66	3.03	0.01%
5	20	1322.26 <sup>a</sup>	<b>1322.26</b>	0.19	<b>1322.26</b>	0.02	<b>1322.26</b>	24	<b>1322.26</b>	4.57	0.00%	1322.26	4.85	0.00%
6	20	6516.47 <sup>a</sup>	<b>6516.47</b>	0.41	<b>6516.47</b>	0.07	<b>6516.47</b>	21	<b>6516.47</b>	2.8	0.00%	6516.47	3.01	0.00%
13	50	2964.65 <sup>a</sup>	<b>2964.65</b>	3.95	<b>2964.65</b>	0.32	<b>2964.65</b>	328	<b>2964.65</b>	27.67	0.00%	2971.32	27.44	0.22%
14	50	9126.90 <sup>a</sup>	<b>9126.90</b>	51.70	<b>9126.90</b>	8.90	<b>9126.90</b>	250	<b>9126.90</b>	11.27	0.00%	9126.91	11.66	0.00%
15	50	2634.96 <sup>a</sup>	<b>2634.96</b>	4.36	<b>2634.96</b>	1.04	<b>2634.96</b>	275	<b>2634.96</b>	13.47	0.00%	2635.02	13.83	0.00%
16	50	3168.92 <sup>a</sup>	<b>3168.92</b>	5.98	<b>3168.92</b>	13.05	<b>3168.92</b>	313	<b>3168.92</b>	17.55	0.00%	3170.81	18.20	0.06%
17	75	2004.48 <sup>a</sup>	<b>2004.48</b>	68.11	<b>2004.48</b>	23.92	<b>2004.48</b>	641	<b>2004.48</b>	43.33	0.00%	2012.23	43.68	0.39%
18	75	3147.99 <sup>a</sup>	<b>3147.99</b>	18.78	<b>3147.99</b>	24.85	<b>3147.99</b>	835	<b>3147.99</b>	47.39	0.05%	3158.24	47.80	0.33%
19	100	8661.81 <sup>a</sup>	<b>8661.81</b>	905.20	<b>8661.81</b>	163.25	<b>8661.81</b>	1411	<b>8661.81</b>	60.33	0.00%	8664.81	59.13	0.03%
20	100	4153.11	<b>4153.11</b>	53.02	<b>4153.11</b>	41.25	<b>4153.11</b>	1460	<b>4153.11</b>	58.97	0.00%	4155.90	59.07	0.07%

<sup>a</sup>: Optimality proved by Baldacci and Mingozzi (2009); <sup>b</sup>: Average of 30 runs; <sup>c</sup>: First found by Prins (2009b)

Choi and Tcha (2007) CPU: Pentium IV 2.6GHz with 512MB RAM; Prins (2009b) CPU: Pentium IV M 1.8GHz;

Imran et al (2009) CPU: Pentium M 1.7GHz with 1 GB RAM.

**Table 12** Summary of results for FSMFD

Method	Best Run		Average <sup>1</sup>	
	Gap	Scaled Time	BKS Found	BKS Improved
CG (Choi and Tcha, 2007)	0.08%	35.98	9	0
SMA-UI (Prins, 2009b)	0.02%	6.18	7	0
VNSI (Imran et al, 2009)	0.04%	117.92	8	0
ILS-RVND	0.00%	24.50	10	1

<sup>1</sup>: Average of 5 runs for Taillard (1999) and of 30 runs for ILS-RVND

**Table 13** Results for FSMF instances

Inst. No.	$n$	BKS	CG		TSAI Brandão		SMA-DI Prins		VNSI Imran et al		GA Liu et al		ILS-RVND					
			Best Sol.	Time	Best Sol.	Time	Best Sol.	Time	Best Sol.	Time	Best Sol.	Time	Best Sol.	Time	Gap	Sol. <sup>d</sup>	Time <sup>d</sup>	Gap <sup>d</sup>
3	20	961.03 <sup>a</sup>	<b>961.03</b>	0	<b>961.03</b>	21	<b>961.03</b>	0.04	<b>961.03</b>	21	<b>961.03</b>	0	<b>961.03</b>	4.60	0.00%	961.10	4.91	0.01%
4	20	6437.33 <sup>a</sup>	<b>6437.33</b>	1	<b>6437.33</b>	22	<b>6437.33</b>	0.03	<b>6437.33</b>	18	<b>6437.33</b>	0	<b>6437.33</b>	3.00	0.00%	6437.63	3.16	0.00%
5	20	1007.05 <sup>a</sup>	<b>1007.05</b>	1	<b>1007.05</b>	20	<b>1007.05</b>	0.09	<b>1007.05</b>	13	<b>1007.05</b>	2	<b>1007.05</b>	5.53	0.00%	1007.05	5.88	0.00%
6	20	6516.47 <sup>a</sup>	<b>6516.47</b>	0	<b>6516.47</b>	25	<b>6516.47</b>	0.08	<b>6516.47</b>	22	<b>6516.47</b>	0	<b>6516.47</b>	2.91	0.00%	6516.47	3.07	0.00%
13	50	2406.36 <sup>a</sup>	<b>2406.36</b>	10	<b>2406.36</b>	145	<b>2406.36</b>	17.12	<b>2406.36</b>	252	<b>2406.36</b>	91	<b>2406.36</b>	2408.41	0.09%	2419.38	30.29	0.54%
14	50	9119.03 <sup>a</sup>	<b>9119.03</b>	51	<b>9119.03</b>	220	<b>9119.03</b>	19.66	<b>9119.03</b>	274	<b>9119.03</b>	42	<b>9119.03</b>	11.45	0.00%	9119.03	11.89	0.00%
15	50	2586.37 <sup>a</sup>	<b>2586.37</b>	10	<b>2586.37</b>	110	<b>2586.37</b>	25.1	<b>2586.37</b>	303	<b>2586.37</b>	48	<b>2586.37</b>	19.29	0.00%	2586.80	20.24	0.02%
16	50	2720.43 <sup>a</sup>	<b>2720.43</b>	11	<b>2720.43</b>	111	<b>2720.43</b>	16.37	<b>2720.43</b>	253	<b>2720.43</b>	107	<b>2720.43</b>	19.98	0.00%	2737.59	20.67	0.63%
17	75	1734.53 <sup>a</sup>	<b>1734.53</b>	207	<b>1734.53</b>	322	<b>1734.53</b>	52.22	<b>1734.53</b>	745	<b>1734.53</b>	109	<b>1734.53</b>	53.70	0.00%	1748.06	52.49	0.78%
18	75	2369.65 <sup>a</sup>	<b>2369.65</b>	70	<b>2369.65</b>	267	<b>2369.65</b>	36.92	<b>2369.65</b>	897	<b>2369.65</b>	197	<b>2369.65</b>	54.22	0.08%	2380.98	55.35	0.48%
19	100	8661.81 <sup>a</sup>	<b>8661.81</b>	1179	<b>8661.81</b>	438	<b>8661.81</b>	169.93	<b>8661.81</b>	1613	<b>8661.81</b>	778	<b>8661.81</b>	64.90	0.01%	8665.31	63.92	0.04%
20	100	4038.46	<b>4038.46</b>	264	<b>4038.46</b>	601	<b>4038.46</b>	172.73	<b>4038.46</b>	1595	<b>4038.46</b>	1004	<b>4038.46</b>	94.22	-0.01%	4051.11	93.88	0.31%

<sup>a</sup>: Optimality proved by Baldacci and Mingozzi (2009); <sup>b</sup>: Total time of 10 runs; <sup>c</sup>: Average time of 10 runs; <sup>d</sup>: Average of 30 runs  
 Choi and Tcha (2007) CPU: Pentium IV 2.6GHz with 512MB RAM; Brandão (2009) CPU: Pentium M 1.4GHz with 256 MB RAM;  
 Prins (2009b) CPU: Pentium IV M 1.8GHz; Imran et al (2009) CPU: Pentium M 1.7GHz with 1 GB RAM; Liu et al (2009) CPU: Pentium 4 3.0GHz

**Table 14** Summary of results for FSMF

Method	Best Run		Average <sup>1</sup>	
	Gap	Scaled Time	BKS Found	BKS Improved
CG (Choi and Tcha, 2007)	0.06%	58.34	8	0
TSAI (Brandão, 2009)	0.08%	39.95	6	0
SMA-D1 (Prins, 2009b)	0.10%	11.39	8	0
VNSI (Imran et al, 2009)	0.05%	126.60	9	0
GA (Liu et al, 2009)	0.01%	—	10	0
ILS-RVND	0.01%	30.35	8	1
				0.23%

<sup>1</sup>: Average of 5 runs for Choi and Tcha (2007), of 10 runs Liu et al (2009) and of 30 runs for ILS-RVND



## 7 Concluding Remarks

This article dealt with Heterogeneous Fleet Vehicle Routing Problem (HVRFP). This kind of problem often arises in practical applications and one can affirm that this model is more realistic than the classical homogeneous Vehicle Routing Problem. Five different HFVRP variants involving limited and unlimited fleets were considered. These variants were solved by an Iterated Local Search algorithm that uses Variable Neighborhood Descent with random neighborhood ordering (RVND) in the local search phase.

The proposed algorithm (ILS-RVND) was tested on 52 well-known benchmark instances with up to 100 customers. The ILS-RVND was found capable to improve the results of 4 instances and to equal the results of another 42. Furthermore, we believe that the developed solution approach has a quite simple structure and it also relies on very few parameters. This not only facilitates the reproduction of the procedure, but it also reduces the tuning efforts. In addition, we can verify that the algorithm has proven to be flexible, as can be observed by the robust and competitive results obtained in each of the five HFVRP variants considered in this work. According to Cordeau et al (2002), when it comes to VRP heuristics, simplicity and flexibility are just important as solution quality and computational time.

As for future work, we intend to improve our algorithm to solve large-sized instances, as well as other HFVRP variants that include additional features such as time windows, pickup and delivery services, multiple depots and so forth.

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## A New best solutions

### A.1 HVRPFD

**Instance 19:** 8 routes, cost 10420.34  
(vehicle type): list of customers.

```
(A): 0 87 42 14 38 43 15 57 2 0
(A): 0 60 83 8 46 45 17 84 5 99 96 6 0
(A): 0 12 80 68 29 24 25 55 54 0
(B): 0 52 7 19 11 64 49 36 47 48 82 18 89 0
(B): 0 27 69 1 70 30 20 66 32 90 63 10 62 88 31 0
(B): 0 76 77 3 79 78 34 35 65 71 9 51 81 33 50 0
(C): 0 94 95 59 93 85 61 16 86 44 91 100 98 37 92 97 13 0
(C): 0 53 58 40 21 73 72 74 22 41 75 56 23 67 39 4 26 28 0
```

**Instance 20:** 12 routes, cost 4788.49  
(vehicle type): list of customers.

(A): 0 73 74 56 22 41 57 2 0  
(A): 0 42 15 43 38 14 91 6 0  
(A): 0 55 25 24 29 34 78 79 0  
(A): 0 28 76 77 26 0  
(A): 0 60 84 17 45 8 83 18 0  
(B): 0 27 50 33 81 3 68 80 12 0  
(B): 0 46 47 36 49 64 63 90 32 70 31 0  
(B): 0 52 7 82 48 19 11 62 10 88 0  
(B): 0 1 51 9 35 71 65 66 20 30 69 0  
(C): 0 54 4 39 67 23 75 72 21 40 53 0  
(C): 0 58 13 87 97 98 85 93 59 99 96 0  
(C): 0 89 5 61 16 86 44 100 37 92 95 94 0

## A.2 FSMFD

**Instance 20:** 25 routes, cost 4153.02  
(vehicle type): list of customers.

(A): 0 16 86 17 60 0  
(A): 0 70 90 63 11 62 88 0  
(A): 0 4 39 25 55 0  
(A): 0 13 58 53 0  
(A): 0 52 48 18 0  
(A): 0 93 61 100 37 0  
(A): 0 83 45 84 5 0  
(A): 0 51 9 66 20 0  
(A): 0 69 1 50 76 28 0  
(A): 0 68 80 54 0  
(A): 0 31 27 0  
(A): 0 89 6 96 59 0  
(A): 0 77 3 29 24 12 0  
(A): 0 91 44 38 14 92 0  
(A): 0 21 74 75 22 41 0  
(A): 0 8 46 36 49 64 7 0  
(A): 0 40 73 72 26 0  
(A): 0 19 47 82 0  
(A): 0 2 57 15 43 42 87 0  
(A): 0 98 85 99 0  
(A): 0 30 32 10 0  
(A): 0 56 23 67 0  
(A): 0 97 95 94 0  
(A): 0 33 81 79 0  
(A): 0 71 65 35 34 78 0

## A.3 FSMF

**Instance 20:** 18 routes, cost 4038.37  
(vehicle type): list of customers.

(A): 0 18 83 5 96 0  
(A): 0 89 6 13 58 0  
(A): 0 27 69 31 52 0  
(A): 0 82 48 7 0  
(A): 0 12 68 0  
(A): 0 99 93 59 0

(A): 0 92 100 85 0  
 (A): 0 21 72 40 53 0  
 (A): 0 97 95 94 0  
 (A): 0 3 79 33 50 0  
 (A): 0 28 76 77 26 0  
 (A): 0 2 57 15 43 42 87 0  
 (A): 0 41 22 75 74 73 0  
 (B): 0 70 30 20 66 32 90 63 10 62 88 0  
 (B): 0 54 55 25 39 67 23 56 4 0  
 (B): 0 60 84 17 45 8 46 47 36 49 64 11 19 0  
 (B): 0 80 24 29 78 34 35 65 71 9 81 51 1 0  
 (B): 0 37 98 91 44 14 38 86 16 61 0

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